Agency Budget Request

FISCAL YEAR 2027–2028

Executive Department

255 — Office of Financial Institutions



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BUDGET REQUEST

Fiscal Year Ending June 30,2028

NAME OF DEPARTMENT / AGENCY: EXECUTIVE

BUDGET UNIT: OFFICE OF FINANCIAL INSTITUTIONS

SCHEDULE NUMBER: 01-255

TELEPHONE NUMBER: (225) 925-3671

PHYSICAL ADDRESS: 8660 UNITED PLAZA BLVD., 2ND FLOOR
BATON ROUGE, LA

ZIP CODE: 70809

WEB ADDRESS: http://www.ofi.la.gov

WE HEREBY CERTIFY THAT THE STATEMENTS AND FIGURES ON THE ACCOMPANYING FORMS ARE TRUE AND CORRECT TO THE BEST OF OUR KNOWLEDGE.

HEAD OF DEPARTMENT:	**HEAD OF BUDGET UNIT:**
PRINTED NAME/TITLE:	**PRINTED NAME/TITLE:** P. SCOTT JOLLY, COMMISSIONER
DATE:	**DATE:** 10-30-26
EMAIL ADDRESS:	**EMAIL ADDRESS:** pjolly@ofi.la.gov

PROGRAM CONTACT PERSON: CHRISTINE O. KIRKLAND	**FINANCIAL CONTACT PERSON:** HAGGA JOHNSON
TITLE: DEPUTY UNDERSECRETARY	**TITLE:** ACCOUNTANT ADMINISTRATOR
TELEPHONE NUMBER: (225) 922-0632	**TELEPHONE NUMBER:** (225) 925-3671
EMAIL ADDRESS: ckirkland@ofi.la.gov	**EMAIL ADDRESS:** hjohnson@ofi.la.gov

Operational Plan

STATE OF LOUISIANA
Operational Plan Form
Department Goals

DEPARTMENT NUMBER AND NAME: 01A - EXEC

DEPARTMENT MISSION:
The Office of Financial (OFI) Institutions licenses and supervises entities under its jurisdiction in order to enhance confidence in the financial services industry.
DEPARTMENT GOALS:
To provide effective, efficient, proactive supervision to all entities under the Office of Financial Institutions' jurisdiction.

STATE OF LOUISIANA
Operational Plan Form
Agency Goals

AGENCY NUMBER AND NAME: 255 - Office of Financial Institutions

AGENCY MISSION:
The Office of Financial Institutions (OFI) licenses and supervises entities under its jurisdiction in order to enhance confidence in the financial services industry.
AGENCY GOALS:
To provide effective, efficient, proactive supervision to all entities under the Office of Financial Institutions' jurisdiction.
STATEMENT OF AGENCY STRATEGY FOR DEVELOPMENT OF HUMAN RESOURCE POLICIES THAT ARE HELPFUL AND BENEFICIAL TO WOMEN AND FAMILIES:
The Office of Financial Institutions' human resource policies that are helpful and beneficial to women and families include: Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules, maternity leave, and parental leave); Family and Medical Leave; Sexual Harassment; Violence in the Workplace, and Americans with Disabilities Act.

STATE OF LOUISIANA
Operational Plan Form
Program Goals

PROGRAM NUMBER AND NAME: 2551 - Office of Financial Institutions

PROGRAM AUTHORIZATION:

R.S. 6:1, et seq.; 6:571, et seq.; 6:641 et seq.; 6:965 et seq.; 6:701, et seq.; 6:970 et seq.; 6:1001 et seq.; 6:1031 et seq.; 6:1081 et seq.; 6:1111 et seq,; 6:1131 et seq.; 6:1381 et seq.; 6:1411 et seq.; 9:2130; 9:3510 et seq.; 9:2941 et seq,; 36:4.1(B)(1); 37:1781 et seq.; 51:701 et seq.; 51:1921 et seq.; 51:2386 et seq; 9:3574.1 et seq.; 9:3577.1 et seq.; 9:3578.1 et seq.; 17:3023.8; 51:2313; 51:3081 et seq.

PROGRAM MISSION:

The Office of Financial Institutions (OFI) licenses and supervises entities under its jurisdiction in order to enhance confidence in the financial services industry.

PROGRAM GOALS:

Provide effective, efficient, proactive supervision to all entities under the Office of Financial Institutions' jurisdiction.

PROGRAM ACTIVITY:

- Administrative – The Administrative Activity provides leadership, oversight, and support services to the Depository, Non-Depository, and Securities activities. Overall agency management, Commissioner's Office, Information Technology, Legal Services, Human Resources, Fiscal Accounting and Budget, Internal Audit, Procurement, Mail Services, Property Control, Fleet, Safety, and other support functions reside in this activity.
- Depository – Through the Depository Activity, the program regulates all state-chartered depository institutions including banks, trust companies, thrifts, their respective holding companies, and credit unions. Business and Industrial Development Corporations (BIDCOs) and Certified Louisiana Capital Companies (CAPCOs) are also regulated through the Depository Activity. OFI's banking division has been accredited by the Conference of State Bank Supervisors since 1989. OFI's credit union division has been accredited by the National Association of State Credit Union Supervisors since 1995.
- Non-Depository – Through the Non-depository Activity, the program is responsible for supervising and regulating licensed lenders; consumer loan brokers; pawn brokers; residential mortgage lenders, brokers, servicers, and originators; seller of checks and money transmitters; bond for deed escrow agents; check cashers; repossession agencies and agents, private education lenders, virtual currency business activities; and retail sales finance businesses (Notification Filers) which are required to file notification with OFI. OFI's Non-depository mortgage section has been accredited by the Conference of State Bank Supervisors Mortgage Accreditation Program since 2011.
- Securities – Through the Securities Activity, the program is responsible for regulating all securities offerings, agents, broker dealers, and investment advisors.

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

PM OBJECTIVE: 2551-01 - Through the Depository activity, to proactively regulate state chartered depository institutions by conducting periodic examinations in accordance with OFI policy guidelines, by assigning a rating of 1 to 5 in accordance with federal interagency policy guidelines (Satisfactory = 1 or 2), and by rendering a decision on complaints within 60 days.

Children's Budget Link:	N/A
Human Resource Policies Beneficial to Women and Families Link:	Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules, maternity leave, and parental leave); Family and Medical Leave; Sexual Harassment; Violence in the Workplace; and Americans with Disabilities Act.
Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other:	N/A
Explanatory Notes:	The Depository activity currently provides supervision to eighty-four (84) banks/thrifts and twenty-four (24) credit unions.

Performance Indicator	Level	Performance Indicator Name	Unit	Performance Indicator Values						
				Year End Performance Standard 2025 - 2026	Actual Year End Performance 2025 - 2026	Performance Standard as Initially Appropriated 2026 - 2027	Existing Performance Standard 2026 - 2027	Performance at Continuation Budget Level 2027 - 2028	Performance at Executive Budget Level 2027 - 2028	Performance Standard as Initially Appropriated 2027 - 2028
22683	K	Percentage of examinations conducted within policy guidelines - depository	P	95	97.67	95	95	95	0	0
23377	K	Percentage of complaints for which a decision was rendered within 60 days - depository	P	90	91.43	90	90	90	0	0
25832	K	Percentage of independent examination reports processed within 30 days - depository	P	90	100	90	90	90	0	0

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

Performance Indicator	Level	Performance Indicator Name	Unit	General Performance Information				
				Performance Indicator Values				
				Prior Year Actual FY2021 - 2022	Prior Year Actual FY2022 - 2023	Prior Year Actual FY2023 - 2024	Prior Year Actual FY2024 - 2025	Prior Year Actual FY2025 - 2026
11617	G	Number of complaints received - depository	N	24	13	30	14	35
22686	G	Number of examinations conducted - depository	N	51	49	55	53	43
22687	G	Number of examinations conducted within policy guidelines - depository	N	48	38	55	52	42
22688	G	Number of complaints for which a decision was rendered within 60 days - depository	N	23	12	30	13	32
22689	G	Number of independent examination reports processed within 30 days - depository	N	20	31	31	26	22
22690	G	Number of independent examination reports received - depository	N	20	33	31	28	22
23378	G	Total number of depository institutions - depository	N	117	117	114	114	108
23379	G	Total assets of depository institutions - depository (in billions)	D	55,895	61,007	61,856	65,321	67,798
23380	G	Total assets of depository institutions with satisfactory exam ratings - depository (in billions)	D	51,652	59,707	60,612	58,733	62,314
23381	G	Total number of depository institutions with satisfactory exam ratings - depository	N	106	104	103	99	99
25383	G	Number of complaints for which a decision was rendered - depository	N	24	13	30	14	35

Performance Indicator	Level	Footnotes
22683	K	Forty-two (42) out of forty-three (43) examinations conducted within policy guidelines.
23377	K	Thirty-two (32) out of thirty-five (35) complaints had a decision rendered within sixty (60) days,
23379	G	Assets are rounded to millions, not billions as the indicator name indicates.
23380	G	Assets are rounded to millions, not billions as the indicator name indicates.
25832	K	Twenty-two (22) out of twenty-two (22) Independent reports were processed within thirty (30) days.

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

PM OBJECTIVE: 2551-02 - Through the Non-depository activity, to supervise non-depository financial service providers by conducting 90% of examinations within policy guidelines, by rendering a decision on 85% of consumer complaints within 60 days, and by approving or denying 100% of complete applications within 60 days from the date the applications are deemed complete.

Children's Budget Link:	N/A
Human Resource Policies Beneficial to Women and Families Link:	Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules, maternity leave, and parental leave); Family and Medical Leave; Sexual Harassment; Violence in the Workplace; and Americans with Disabilities Act.
Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other:	N/A
Explanatory Notes:	The Non-Depository activity currently provides supervision to approximately 15,902 licensees and registrants including licensed lenders; consumer loan brokers; check cashiers; pawnbrokers; residential mortgage lenders, brokers and originators; seller of checks and money transmitters; bond for deed escrow agents; notification filers; repossession agents; virtual currency business activities; and private education lenders.

Performance Indicator	Level	Performance Indicator Name	Unit	Performance Indicator Values						
				Year End Performance Standard 2025 - 2026	Actual Year End Performance 2025 - 2026	Performance Standard as Initially Appropriated 2026 - 2027	Existing Performance Standard 2026 - 2027	Performance at Continuation Budget Level 2027 - 2028	Performance at Executive Budget Level 2027 - 2028	Performance Standard as Initially Appropriated 2027 - 2028
23382	K	Percentage of complaints for which a decision was rendered within 60 days - non-depository	P	85	97.03	85	85	85	0	0
23383	K	Percentage of registrations and licenses approved or denied within 60 days of the date they were deemed complete - non-depository	P	100	100	100	100	100	0	0
23384	K	Percentage of examinations conducted within policy guidelines - non-depository	P	90	71.92	90	90	90	0	0

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

Performance Indicator	Level	Performance Indicator Name	Unit	General Performance Information				
				Performance Indicator Values				
				Prior Year Actual FY2021 - 2022	Prior Year Actual FY2022 - 2023	Prior Year Actual FY2023 - 2024	Prior Year Actual FY2024 - 2025	Prior Year Actual FY2025 - 2026
1069	G	Number of examinations performed - non-depository	N	401	283	454	704	543
1076	G	Reported number of unlicensed entities - non-depository	N		0	0	1	1
22694	G	Number of complaints received - non-depository	N	108	87	72	79	105
23385	G	Number of examinations performed with no violations or only minor violations - non-depository	N	130	100	192	273	237
23386	G	Number of complaints for which a decision was rendered within 60 days - non-depository	N	106	78	66	83	98
23387	G	Number of total violations cited in examinations - non-depository	N	5,233	1,127	1,747	2,758	2,828
23388	G	Number of complaints for which a decision was rendered - non-depository	N	109	83	74	84	101
23389	G	Number of registrations and licenses approved or denied within 60 days of the date they were deemed complete - non-depository	N	4,761	2,385	2,351	3,048	3,387
23390	G	Number of registrations and license applications with a licensing decision rendered - non-depository	N	4,778	2,401	2,364	3,055	3,388
23391	G	Number of active licenses and registrants - non-depository	N	19,299	14,561	13,185	14,058	15,060
23392	G	Dollar amount of refunds/rebates as a result of examinations - non-depository	D	0	0	0	0	0
23393	G	Dollar amount of refunds/rebates as a result of complaints - non-depository	D	2,192	0	0	0	172,592
25978	G	Number of scheduled examinations conducted within policy guidelines - non-depository	N	401	283	454	704	543
26365	G	Number of examinations scheduled to be conducted within policy guidelines non-depository	N	575	418	545	725	755

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

Performance Indicator	Level	Footnotes
23382	K	Ninty-Eight (98) of One Hundred one (101) complaints had decisions rendered within sixty (60) days. OFI received the response from the licensee in a timely manner to adequately determine compliance with state and federal statutes.
23383	K	Three thousand, three hundred eighty-seven (3,387) out of three thousand, three hundred eighty-eight (3,388) registrations and licenses were approved or denied within 60 days of the date they were deemed complete.
23384	K	Five hundred fourty three (543) examinations were conducted out of seven hundred fifty five (755) scheduled to be conducted per policy guidelines.

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

PM OBJECTIVE: 2551-03 - Through the Securities activity, to supervise broker dealers and investment advisers located in the state of Louisiana by conducting 95% of examinations within policy guidelines; by rendering a decision on 90% of complaints within 60 days; by approving or denying 95% of all applications for licenses for broker dealers, investment advisers, and agents within 30 days from the date the application is deemed complete; and by approving or denying 95% of requests for authorization of securities offerings within statutory guidelines.

Children's Budget Link:	N/A
Human Resource Policies Beneficial to Women and Families Link:	Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules, maternity leave, and parental leave); Family and Medical Leave; Sexual Harassment; Violence in the Workplace; and Americans with Disabilities Act.
Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other:	N/A
Explanatory Notes:	The Securities activity supervises securities agents, broker-dealers, and investment advisors, and registrations of public and private offerings.

Performance Indicator	Level	Performance Indicator Name	Unit	Performance Indicator Values						
				Year End Performance Standard 2025 - 2026	Actual Year End Performance 2025 - 2026	Performance Standard as Initially Appropriated 2026 - 2027	Existing Performance Standard 2026 - 2027	Performance at Continuation Budget Level 2027 - 2028	Performance at Executive Budget Level 2027 - 2028	Performance Standard as Initially Appropriated 2027 - 2028
11633	K	Percentage of examinations conducted within policy guidelines - broker dealers/ investment advisors	P	95	98.28	95	95	95	0	0
15831	K	Percentage of applications filed by broker dealers, investment advisors, and agents approved or denied within 30 days of the date they were deemed complete - securities	P	95	99.92	95	95	95	0	0
23394	K	Percentage of complaints on which a decision was rendered within 60 days - securities	P	90	100	90	90	90	0	0
23395	K	Percentage of requests for authorization of securities offerings approved or denied within statutory guidelines - securities	P	95	99.98	95	95	95	0	0

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

Performance Indicator	Level	Performance Indicator Name	Unit	General Performance Information				
				Performance Indicator Values				
				Prior Year Actual FY2021 - 2022	Prior Year Actual FY2022 - 2023	Prior Year Actual FY2023 - 2024	Prior Year Actual FY2024 - 2025	Prior Year Actual FY2025 - 2026
1090	G	Number of examinations scheduled to be conducted within policy guidelines - broker dealers/investment advisers - securities	N	52	54	53	45	58
22697	G	Number of scheduled examinations conducted within policy guidelines - broker dealers/investment advisers - securities	N	52	54	53	44	57
22698	G	Number of complaints received - securities	N	54	35	52	40	103
23396	G	Number of applications filed by broker dealers, investment advisors, and agents - securities	N	188,848	200,929	198,509	213,196	225,685
23397	G	Number of requests for authorization of securities offerings approved or denied within statutory guidelines - securities	N	7,216	6,871	6,509	6,008	6,312
23398	G	Number of complaints for which a decision was rendered within 60 days - securities	N	54	35	52	40	103
23399	G	Number of requests for authorizations of securities offerings received	N	7,216	6,871	6,509	6,010	6,313
23400	G	Number of applications for broker dealers, investment advisers, and agents approved or denied within 30 days of the date they were deemed complete - securities	N	188,667	200,799	198,411	212,983	225,501
23401	G	Number of broker dealer and investment adviser firms - securities	N	8,280	6,108	5,532	5,691	5,713
23402	G	Number of broker dealer and investment adviser agents - securities	N	180,568	194,691	192,977	207,505	219,972
23403	G	Number of security offerings - securities	N	7,216	6,871	6,509	6,010	6,313

Performance Indicator	Level	Footnotes
11633	K	Fifty-seven (57) out of fifty-eight (58) exams were conducted within policy guidelines.
15831	K	Two hundred twenty-five thousand, five hundred one (225,501) out of Two hundred twenty-five thousand, six hundred eighty-five (225,685) broker dealers, investment advisers and agents were approved or denied within 30 days of the date they were deemed complete.
23394	K	One hundred three (103) out of One hundred three (103) complaints had a decision rendered within 60 days.
23395	K	Six thousand three hundred twelve (6,312) out of Six thousand, three hundred thirteen (6,313) offerings were approved or denied within guidelines.

STATE OF LOUISIANA
Operational Plan Form
Activities/Objectives - Performance Indicators

DEPARTMENT ID: 01 - EXEC

AGENCY ID: 255 - Office of Financial Institutions

PROGRAM ID: 2551 - Office of Financial Institutions

PM OBJECTIVE: 2551-04 - Through the Administrative activity, to achieve 90% of the objectives of each activity (Depository, Non-depository, and Securities) within the Office of Financial Institutions.

Children's Budget Link:	N/A
Human Resource Policies Beneficial to Women and Families Link:	Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules, maternity leave, and parental leave); Family and Medical Leave; Sexual Harassment; Violence in the Workplace; and Americans with Disabilities Act.
Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other:	N/A
Explanatory Notes:	N/A

Performance Indicator	Level	Performance Indicator Name	Unit	Performance Indicator Values						
				Year End Performance Standard 2025 - 2026	Actual Year End Performance 2025 - 2026	Performance Standard as Initially Appropriated 2026 - 2027	Existing Performance Standard 2026 - 2027	Performance at Continuation Budget Level 2027 - 2028	Performance at Executive Budget Level 2027 - 2028	Performance Standard as Initially Appropriated 2027 - 2028
23404	K	Percentage of activity performance objectives achieved	P	90	90	90	90	90	0	0

Performance Indicator	Level	Performance Indicator Name	Unit	General Performance Information				
				Performance Indicator Values				
				Prior Year Actual FY2021 - 2022	Prior Year Actual FY2022 - 2023	Prior Year Actual FY2023 - 2024	Prior Year Actual FY2024 - 2025	Prior Year Actual FY2025 - 2026
12242	G	Total revenues collected	D	27,057,255	28,369,916	28,075,568	29,786,958	29,493,185.44
12243	G	Percentage of revenues expended	P	41.11	42.3	43.55	44.28	44.06
12244	G	Total cost of operations	D	11,123,215	12,000,258	12,228,153	13,189,883	12,994,175.09

Performance Indicator	Level	Footnotes
23404	K	Nine (9) out of ten (10) performance objectives were met.

Budget Request Overview

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Percent Change
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	12,994,175	15,943,697	18,433,890	2,490,193	15.62%
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	**15.62%**

Fees and Self-Generated

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Percent Change
Fees & Self-generated Revenues	12,994,175	15,943,697	18,433,890	2,490,193	15.62%
Total:	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	**15.62%**

Statutory Dedications

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Percent Change
Total:	—	—	—	—	—

Agency Expenditures

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Percent Change
Salaries	7,104,269	8,674,415	9,567,489	893,074	10.30%
Other Compensation	44,577	57,328	220,056	162,728	283.85%
Related Benefits	3,790,753	4,404,474	4,651,837	247,363	5.62%
TOTAL PERSONAL SERVICES	**$10,939,598**	**$13,136,217**	**$14,439,382**	**$1,303,165**	**9.92%**
Travel	194,859	361,423	370,024	8,601	2.38%
Operating Services	793,515	870,154	939,502	69,348	7.97%
Supplies	43,848	111,560	114,220	2,660	2.38%
TOTAL OPERATING EXPENSES	**$1,032,222**	**$1,343,137**	**$1,423,746**	**$80,609**	**6.00%**
PROFESSIONAL SERVICES	**$15,513**	**$70,000**	**$56,309**	**$(13,691)**	**(19.56)%**
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,001,655	1,333,273	2,484,453	1,151,180	86.34%
TOTAL OTHER CHARGES	**$1,001,655**	**$1,333,273**	**$2,484,453**	**$1,151,180**	**86.34%**
Acquisitions	5,187	61,070	30,000	(31,070)	(50.88)%
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$5,187**	**$61,070**	**$30,000**	**$(31,070)**	**(50.88)%**
TOTAL EXPENDITURES	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	**15.62%**

Agency Positions

Classified	105	105	105	—	—
Unclassified	1	1	1	—	—
TOTAL AUTHORIZED T.O. POSITIONS	106	106	106	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—
TOTAL POSITIONS	106	106	106	—	—

Cost Detail

Means of Financing

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
Fees & Self-generated Revenues	12,994,175	15,943,697	18,433,890	2,490,193
Total:	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**

Salaries

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5110010	SAL-CLASS-TO-REG	6,904,675	8,353,863	9,246,937	893,074
5110020	SAL-CLASS-TO-TERM	39,600	160,558	160,558	—
5110025	SAL-UNCLASS-TO-REG	159,994	159,994	159,994	—
Total Salaries:		**$7,104,269**	**$8,674,415**	**$9,567,489**	**$893,074**

Other Compensation

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5120010	COMPENSATION/WAGES	31,580	43,528	173,256	129,728
5120035	STUDENT LABOR	12,996	13,800	46,800	33,000
Total Other Compensation:		**$44,577**	**$57,328**	**$220,056**	**$162,728**

Related Benefits

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5130010	RET CONTR-STATE EMP	2,053,523	2,460,874	2,422,650	(38,224)
5130020	RET CONTR-TEACHERS	23,793	24,600	46,798	22,198
5130050	POSTRET BENEFITS	837,922	867,000	867,000	—
5130055	FICA TAX (OASDI)	15,275	18,000	17,816	(184)
5130060	MEDICARE TAX	96,565	124,000	137,724	13,724

Related Benefits *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5130070	GRP INS CONTRIBUTION	760,345	910,000	1,159,849	249,849
5130090	TAXABLE FRINGE BEN	3,330	—	—	—
Total Related Benefits:		**$3,790,753**	**$4,404,474**	**$4,651,837**	**$247,363**

Travel

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5210010	IN-STATE TRAVEL-ADM	—	690	706	16
5210015	IN-STATE TRAVEL-CONF	1,651	9,600	9,829	229
5210020	IN-STATE TRAV-FIELD	54,491	137,000	140,261	3,261
5210055	OUT-OF-STTRV-CONF	24,710	33,500	34,297	797
5210060	OUT-OF-STTRV-FIELD	74,164	139,633	142,956	3,323
5210110	CONFERENCE REG FEES	39,843	41,000	41,975	975
Total Travel:		**$194,859**	**$361,423**	**$370,024**	**$8,601**

Operating Services

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5310001	SERV-ADVERTISING	1,143	200	205	5
5310004	SERV-BANK FEES	590	700	717	17
5310009	SERV-MOVING SERVICES	1,960	—	—	—
5310010	SERV-DUES & OTHER	—	1,029	1,054	25
5310011	SERV-SUBSCRIPTIONS	33,552	33,169	33,958	789
5310014	SERV-DRUG TESTING	212	1,326	1,358	32
5310015	SERV-SECURITY	320	—	—	—
5310017	SERV-DOC DESTRUCTION	1,236	2,640	2,703	63
5310026	SERV-INVESTIGATE EXP	1,302	1,600	1,637	37
5310042	SERV-BAR DUES	1,740	1,890	1,935	45
5310048	SERV-SUBSCRIPTIONS	49,289	52,572	53,823	1,251

Operating Services *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5310049	SERV-DUES & OTHER	187,051	178,299	182,543	4,244
5310050	SERV-DUES & OTHER	3,182	5,700	5,836	136
5310400	SERV-MISC	4,718	3,963	4,058	95
5330004	MAINT-GARBAGE DISP	550	—	—	—
5330008	MAINT-EQUIPMENT	3,077	5,222	5,346	124
5330018	MAINT-AUTO REPAIRS	2,848	4,500	4,607	107
5330026	MAINT-SOFTWRE MTCE	2,599	2,599	2,661	62
5340010	RENT-REAL ESTATE	446,616	524,484	585,603	61,119
5340020	RENT-EQUIPMENT	7,970	7,977	8,167	190
5350001	UTIL-INTERNET PROVID	1,466	8,316	8,514	198
5350004	UTIL-TELEPHONE SERV	25,606	24,662	25,249	587
5350005	UTIL-OTHER COMM SERV	2,491	2,496	2,556	60
5350006	UTIL-MAIL/DEL/POST	73	300	307	7
5350009	UTIL-GAS	813	1,300	1,331	31
5350010	UTIL-ELECTRICITY	2,884	3,900	3,993	93
5350011	UTIL-WATER	488	550	563	13
5350018	UTIL-MAIL/DEL/POST	9,000	—	—	—
5350400	UTIL-OTHER	740	760	778	18
Total Operating Services:		**$793,515**	**$870,154**	**$939,502**	**$69,348**

Supplies

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5410001	SUP-OFFICE SUPPLIES	1,675	19,000	19,453	453
5410002	SUP-TELEPH & ACCESS	9	—	—	—
5410006	SUP-COMPUTER	19,898	35,000	35,834	834
5410008	SUP-MEDICAL	—	300	307	7

Supplies *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5410012	SUP-PERIODICALS	711	4,000	4,096	96
5410015	SUP-AUTO	160	6,740	6,901	161
5410017	SUP-JANITORIAL	2,254	3,000	3,072	72
5410031	SUP-REP/MNT SUP-AUTO	—	1,900	1,945	45
5410035	SUP-SOFTWARE	—	4,500	4,607	107
5410036	SUP-FUELTRAC	5,830	12,120	12,410	290
5410400	SUP-OTHER	13,311	25,000	25,595	595
Total Supplies:		**$43,848**	**$111,560**	**$114,220**	**$2,660**

Professional Services

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5500000	TOTAL PROF SERVICES	—	—	(15,357)	(15,357)
5510005	PROF SERV-LEGAL	15,513	65,000	66,547	1,547
5510400	PROF SERV-OTHER	—	5,000	5,119	119
Total Professional Services:		**$15,513**	**$70,000**	**$56,309**	**$(13,691)**

Interagency Transfers

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5950001	IAT-COMMODITY/SERV	4,550	8,873	8,873	—
5950005	IAT-DUES AND SUBSCRP	—	90	90	—
5950006	IAT-ADVERTISING	64	1,350	1,350	—
5950007	IAT-PRINTING	2,847	5,826	5,826	—
5950008	IAT-POSTAGE	27	3,000	3,000	—
5950014	IAT-TELEPHONE	69,654	80,059	80,059	—
5950026	IAT-RENTALS	103,584	106,477	106,477	—
5950034	IAT-OFFICE SUPPLIES	—	500	500	—
5950049	IAT-CIVIL SERVICE	50,166	53,507	53,507	—

Interagency Transfers *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5950050	IAT-ORM INSURANCE	137,400	123,940	123,940	—
5950051	IAT-OSUP	5,488	5,926	5,926	—
5950052	IAT-LEG. AUDITOR	35,000	50,135	51,180	1,045
5950055	IAT-ADMIN LAW JUDGE	—	150	150	—
5950058	IAT-TECH SVCS	592,389	892,696	2,042,831	1,150,135
5950059	IAT-ST PROCUREMENT	485	744	744	—
Total Interagency Transfers:		**$1,001,655**	**$1,333,273**	**$2,484,453**	**$1,151,180**

Acquisitions

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5710224	ACQ-OFFICE FURN&EQP	5,187	61,070	30,000	(31,070)
Total Acquisitions:		**$5,187**	**$61,070**	**$30,000**	**$(31,070)**
Total Agency Expenditures:		**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Percent Change
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	12,994,175	15,943,697	18,433,890	2,490,193	15.62%
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	**15.62%**

Fees and Self-Generated

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Percent Change
Fees & Self-generated Revenues	12,994,175	15,943,697	18,433,890	2,490,193	15.62%
Total:	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	**15.62%**

Program Expenditures

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Percent Change
Salaries	7,104,269	8,674,415	9,567,489	893,074	10.30%
Other Compensation	44,577	57,328	220,056	162,728	283.85%
Related Benefits	3,790,753	4,404,474	4,651,837	247,363	5.62%
TOTAL PERSONAL SERVICES	**$10,939,598**	**$13,136,217**	**$14,439,382**	**$1,303,165**	**9.92%**
Travel	194,859	361,423	370,024	8,601	2.38%
Operating Services	793,515	870,154	939,502	69,348	7.97%
Supplies	43,848	111,560	114,220	2,660	2.38%
TOTAL OPERATING EXPENSES	**$1,032,222**	**$1,343,137**	**$1,423,746**	**$80,609**	**6.00%**
PROFESSIONAL SERVICES	**$15,513**	**$70,000**	**$56,309**	**$(13,691)**	**(19.56)%**
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,001,655	1,333,273	2,484,453	1,151,180	86.34%
TOTAL OTHER CHARGES	**$1,001,655**	**$1,333,273**	**$2,484,453**	**$1,151,180**	**86.34%**
Acquisitions	5,187	61,070	30,000	(31,070)	(50.88)%
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$5,187**	**$61,070**	**$30,000**	**$(31,070)**	**(50.88)%**
TOTAL EXPENDITURES	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	**15.62%**

Program Positions

Classified	105	105	105	—	—
Unclassified	1	1	1	—	—
TOTAL AUTHORIZED T.O. POSITIONS	106	106	106	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—
TOTAL POSITIONS	106	106	106	—	—

Cost Detail

Means of Financing

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
Fees & Self-generated Revenues	12,994,175	15,943,697	18,433,890	2,490,193
Total:	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**

Salaries

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5110010	SAL-CLASS-TO-REG	6,904,675	8,353,863	9,246,937	893,074
5110020	SAL-CLASS-TO-TERM	39,600	160,558	160,558	—
5110025	SAL-UNCLASS-TO-REG	159,994	159,994	159,994	—
Total Salaries:		**$7,104,269**	**$8,674,415**	**$9,567,489**	**$893,074**

Other Compensation

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5120010	COMPENSATION/WAGES	31,580	43,528	173,256	129,728
5120035	STUDENT LABOR	12,996	13,800	46,800	33,000
Total Other Compensation:		**$44,577**	**$57,328**	**$220,056**	**$162,728**

Related Benefits

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5130010	RET CONTR-STATE EMP	2,053,523	2,460,874	2,422,650	(38,224)
5130020	RET CONTR-TEACHERS	23,793	24,600	46,798	22,198
5130050	POSTRET BENEFITS	837,922	867,000	867,000	—
5130055	FICA TAX (OASDI)	15,275	18,000	17,816	(184)
5130060	MEDICARE TAX	96,565	124,000	137,724	13,724

Related Benefits *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5130070	GRP INS CONTRIBUTION	760,345	910,000	1,159,849	249,849
5130090	TAXABLE FRINGE BEN	3,330	—	—	—
Total Related Benefits:		**$3,790,753**	**$4,404,474**	**$4,651,837**	**$247,363**

Travel

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5210010	IN-STATE TRAVEL-ADM	—	690	706	16
5210015	IN-STATE TRAVEL-CONF	1,651	9,600	9,829	229
5210020	IN-STATE TRAV-FIELD	54,491	137,000	140,261	3,261
5210055	OUT-OF-STTRV-CONF	24,710	33,500	34,297	797
5210060	OUT-OF-STTRV-FIELD	74,164	139,633	142,956	3,323
5210110	CONFERENCE REG FEES	39,843	41,000	41,975	975
Total Travel:		**$194,859**	**$361,423**	**$370,024**	**$8,601**

Operating Services

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5310001	SERV-ADVERTISING	1,143	200	205	5
5310004	SERV-BANK FEES	590	700	717	17
5310009	SERV-MOVING SERVICES	1,960	—	—	—
5310010	SERV-DUES & OTHER	—	1,029	1,054	25
5310011	SERV-SUBSCRIPTIONS	33,552	33,169	33,958	789
5310014	SERV-DRUG TESTING	212	1,326	1,358	32
5310015	SERV-SECURITY	320	—	—	—
5310017	SERV-DOC DESTRUCTION	1,236	2,640	2,703	63
5310026	SERV-INVESTIGATE EXP	1,302	1,600	1,637	37
5310042	SERV-BAR DUES	1,740	1,890	1,935	45
5310048	SERV-SUBSCRIPTIONS	49,289	52,572	53,823	1,251

Operating Services *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5310049	SERV-DUES & OTHER	187,051	178,299	182,543	4,244
5310050	SERV-DUES & OTHER	3,182	5,700	5,836	136
5310400	SERV-MISC	4,718	3,963	4,058	95
5330004	MAINT-GARBAGE DISP	550	—	—	—
5330008	MAINT-EQUIPMENT	3,077	5,222	5,346	124
5330018	MAINT-AUTO REPAIRS	2,848	4,500	4,607	107
5330026	MAINT-SOFTWRE MTCE	2,599	2,599	2,661	62
5340010	RENT-REAL ESTATE	446,616	524,484	585,603	61,119
5340020	RENT-EQUIPMENT	7,970	7,977	8,167	190
5350001	UTIL-INTERNET PROVID	1,466	8,316	8,514	198
5350004	UTIL-TELEPHONE SERV	25,606	24,662	25,249	587
5350005	UTIL-OTHER COMM SERV	2,491	2,496	2,556	60
5350006	UTIL-MAIL/DEL/POST	73	300	307	7
5350009	UTIL-GAS	813	1,300	1,331	31
5350010	UTIL-ELECTRICITY	2,884	3,900	3,993	93
5350011	UTIL-WATER	488	550	563	13
5350018	UTIL-MAIL/DEL/POST	9,000	—	—	—
5350400	UTIL-OTHER	740	760	778	18
Total Operating Services:		**$793,515**	**$870,154**	**$939,502**	**$69,348**

Supplies

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5410001	SUP-OFFICE SUPPLIES	1,675	19,000	19,453	453
5410002	SUP-TELEPH & ACCESS	9	—	—	—
5410006	SUP-COMPUTER	19,898	35,000	35,834	834
5410008	SUP-MEDICAL	—	300	307	7

Supplies *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5410012	SUP-PERIODICALS	711	4,000	4,096	96
5410015	SUP-AUTO	160	6,740	6,901	161
5410017	SUP-JANITORIAL	2,254	3,000	3,072	72
5410031	SUP-REP/MNT SUP-AUTO	—	1,900	1,945	45
5410035	SUP-SOFTWARE	—	4,500	4,607	107
5410036	SUP-FUELTRAC	5,830	12,120	12,410	290
5410400	SUP-OTHER	13,311	25,000	25,595	595
Total Supplies:		**$43,848**	**$111,560**	**$114,220**	**$2,660**

Professional Services

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5500000	TOTAL PROF SERVICES	—	—	(15,357)	(15,357)
5510005	PROF SERV-LEGAL	15,513	65,000	66,547	1,547
5510400	PROF SERV-OTHER	—	5,000	5,119	119
Total Professional Services:		**$15,513**	**$70,000**	**$56,309**	**$(13,691)**

Interagency Transfers

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5950001	IAT-COMMODITY/SERV	4,550	8,873	8,873	—
5950005	IAT-DUES AND SUBSCRP	—	90	90	—
5950006	IAT-ADVERTISING	64	1,350	1,350	—
5950007	IAT-PRINTING	2,847	5,826	5,826	—
5950008	IAT-POSTAGE	27	3,000	3,000	—
5950014	IAT-TELEPHONE	69,654	80,059	80,059	—
5950026	IAT-RENTALS	103,584	106,477	106,477	—
5950034	IAT-OFFICE SUPPLIES	—	500	500	—
5950049	IAT-CIVIL SERVICE	50,166	53,507	53,507	—

Interagency Transfers *(continued)*

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5950050	IAT-ORM INSURANCE	137,400	123,940	123,940	—
5950051	IAT-OSUP	5,488	5,926	5,926	—
5950052	IAT-LEG. AUDITOR	35,000	50,135	51,180	1,045
5950055	IAT-ADMIN LAW JUDGE	—	150	150	—
5950058	IAT-TECH SVCS	592,389	892,696	2,042,831	1,150,135
5950059	IAT-ST PROCUREMENT	485	744	744	—
Total Interagency Transfers:		**$1,001,655**	**$1,333,273**	**$2,484,453**	**$1,151,180**

Acquisitions

Commitment Item	Name	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB
5710224	ACQ-OFFICE FURN&EQP	5,187	61,070	30,000	(31,070)
Total Acquisitions:		**$5,187**	**$61,070**	**$30,000**	**$(31,070)**
Total Expenditures for Program 2551		**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**
Total Agency Expenditures:		**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**

SOURCE OF FUNDING SUMMARY

Agency Overview

Fees & Self-generated

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Total Request	Over/Under EOB	Form ID
FEES & SELF GENERATED	12,994,175	15,943,697	18,433,890	2,490,193	55566
Total Fees & Self-generated	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	
Total Sources of Funding:	**$12,994,175**	**$15,943,697**	**$18,433,890**	**$2,490,193**	

SOURCE OF FUNDING DETAIL

Fees & Self-generated

Form 55566 — 255 - Source of Funding

Expenditures	Existing Operating Budget as of 10/01/2026			FY2027-2028 Total Request			FY2028-2029 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	8,674,415	—	—	9,567,489	—	—	10,010,134	—	—
Other Compensation	57,328	—	—	220,056	—	—	243,635	—	—
Related Benefits	4,404,474	—	—	4,651,837	—	—	5,128,525	—	—
TOTAL PERSONAL SERVICES	**$13,136,217**	**—**	**—**	**$14,439,382**	**—**	**—**	**$15,382,294**	**—**	**—**
Travel	361,423	—	—	370,024	—	—	361,424	—	—
Operating Services	870,154	—	—	939,502	—	—	950,939	—	—
Supplies	111,560	—	—	114,220	—	—	114,220	—	—
TOTAL OPERATING EXPENSES	**$1,343,137**	**—**	**—**	**$1,423,746**	**—**	**—**	**$1,426,583**	**—**	**—**
PROFESSIONAL SERVICES	**$70,000**	**—**	**—**	**$56,309**	**—**	**—**	**$70,000**	**—**	**—**
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	1,333,273	—	—	2,484,453	—	—	1,237,898	—	—
TOTAL OTHER CHARGES	**$1,333,273**	**—**	**—**	**$2,484,453**	**—**	**—**	**$1,237,898**	**—**	**—**
Acquisitions	61,070	—	—	30,000	—	—	250,000	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$61,070**	**—**	**—**	**$30,000**	**—**	**—**	**$250,000**	**—**	**—**
TOTAL EXPENDITURES	**$15,943,697**	**—**	**—**	**$18,433,890**	**—**	**—**	**$18,366,775**	**—**	**—**

Form 55566 — 255 - Source of Funding

Question	Narrative Response
State the purpose, source and legal citation.	Fees and assessments for financial institutions are set out in LAC 10:1.303, LR 19:1546 (December, 1993), LR 21:1069 (October, 1995), LR 23:706 (June, 1997), and LR 31:2894 (November, 2005); LAC 10:1.305, LR L9:1546 (December, 1993), amended LR 23:707 (June, 1997); LAC 10:1.701, LR 21:1.215 (November, 1995), R.S.6:539, R.S.6:121(B)(1), and R.S. 6:126. Other financial institutions fees obtaining criminal history information, R.S. 6:121.2; Trust company fees, LAC 10:1.1501 and 1503, LR 34:871 (May, 2008) and R.S. 6:121, 576, 592, and 613. Fees and assessments for credit unions are set out in LAC 10:1X.101, LR 17:476 (May, 1991), R.S. 6:643(A) and 646(B)(2). Credit Union Service Organizations, LAC 10:1X.303, LR 20:1093 (October, 1994). Additional default remedies fees, LAC 10:XV.1307, LR 30:2813 (December, 2004), and R.S. 9:966.1(D). Licensed Lender fees are set out in R.S. 9:3561, 3561.1, and R.S. 6:121.2. Notification fees are set out in R.S. 9:3565 and R.5. 9:3554.1. Sale of Checks and Money Transmitter Act fees are set out in R.S. 6:1037, 1038, 1038.1, 1040, 1051, and 1054 and LAC 10:XV.1101, LR 18:144 (February, 1992) and LR 35:1236 (July, 2009). Pawn Broker/Pawn Shop fees are set by R.S. 37:1786, 1788, 1807 and 1808. Bond for Deed Escrow Agent fees are set out in LAC 10:XV.905, 911, and 917, LR 20:412 (April, 1994), LR 22:187 and 189 (March, 1996) and R.S. 6:121.1. Residential Mortgage Lending Act fees are set out in R.S. 6:1088.2, 1088.3, 1089, 1090, 1091, and 1092. Louisiana Check Cashers Law fees are set out in R.S. 6:1004, 6:1004.1(A), 1004.2, and 1007. Loan Broker Fees are set out in R.S.9:3572.3. Securities Brokers, Dealers, Salesmen, and Investment Advisers registration fees and renewal fees are set out in R.S.51:703. Securities registration, notice filing, examination, and issuance fees are set out in R.S. 51:705 and 706. Exempt Transaction fees are set out in R.S.51:709. Uniform Limited Offering Exemption fees are set out in LAC 10:XIII.115, 117 and 703; and R.S. 51:706, 707, and 708, LR 10:742 (October, 1984) and LR 16:677 (August, 1990). Business and Industrial Development Corporation fees are set out in R.S. 51:2389(E)(1). Certified Louisiana Capital Company fees are set out in LAC 10:XV.307, LR 30:36 (January, 2004), and LAC 10:XV.323, LR 28:2349 (November, 2002). The fee assessed when applicant's check that accompanies the application or renewal thereof is returned for non-sufficient funds is set out in R.S. 6:121.7. Fees for Virtual Currency Businesses are set out in LAC 10:XV.1929 and 1933, LR 49:261 and 262 (February, 2023) and R.S. 6:1385, 1387, 1388, 1391, 1393, and 1394. The fees for Private Education Lenders are set out in LAC 10:XV.2013, LR 50:521 and R.S. 6: 1421, 1422,1423, and 1424. (April, 2024).
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	If the total bank/thrift assessments collected for the current fiscal year exceed the actual expenditures for banking/ thrifts activities, then the excess revenue will be transferred to the Requested Year. The assessments to the various financial institutions for the second billing of the new fiscal year will then be adjusted for the overbilling that occurred during the previous fiscal year. However, when total fee assessments are less than actual expenditures incurred during the current fiscal year (under billing), an additional fee assessment will be imposed to the institutions in the Requested Year. These revenues are then deposited in the Requested Year as Income Not Available.
Is the Total Request amount for multiple years?	No
Additional information or comments.	Not Applicable
Provide the amount of any indirect costs.	$0.00

Form 55566 — 255 - Source of Funding *(continued)*

Question	Narrative Response
Any indirect costs funded with other MOF?	No
Objectives and indicators in the Operational Plan.	Not Applicable
Additional information or comments.	Not Applicable

EXPENDITURES BY MEANS OF FINANCING

Existing Operating Budget

Expenditures	Used as a Cash Match	Total Means of Financing By Expenditure	Total State General Fund	Fees & Self-generated Form ID 55566 FEES & SELF GENERATED
Salaries	—	8,674,415	—	8,674,415
Other Compensation	—	57,328	—	57,328
Related Benefits	—	4,404,474	—	4,404,474
TOTAL PERSONAL SERVICES	**—**	**$13,136,217**	**—**	**$13,136,217**
Travel	—	361,423	—	361,423
Operating Services	—	870,154	—	870,154
Supplies	—	111,560	—	111,560
TOTAL OPERATING EXPENSES	**—**	**$1,343,137**	**—**	**$1,343,137**
PROFESSIONAL SERVICES	**—**	**$70,000**	**—**	**$70,000**
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	—	1,333,273	—	1,333,273
TOTAL OTHER CHARGES	**—**	**$1,333,273**	**—**	**$1,333,273**
Acquisitions	—	61,070	—	61,070
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**—**	**$61,070**	**—**	**$61,070**
TOTAL EXPENDITURES	**—**	**$15,943,697**	**—**	**$15,943,697**

Total Request

Expenditures	Used as a Cash Match	Total Means of Financing By Expenditure	Total State General Fund	Fees & Self-generated Form ID 55566 FEES & SELF GENERATED
Salaries	—	9,567,489	—	9,567,489
Other Compensation	—	220,056	—	220,056
Related Benefits	—	4,651,837	—	4,651,837
TOTAL PERSONAL SERVICES	**—**	**$14,439,382**	**—**	**$14,439,382**
Travel	—	370,024	—	370,024
Operating Services	—	939,502	—	939,502
Supplies	—	114,220	—	114,220
TOTAL OPERATING EXPENSES	**—**	**$1,423,746**	**—**	**$1,423,746**
PROFESSIONAL SERVICES	**—**	**$56,309**	**—**	**$56,309**
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	—	2,484,453	—	2,484,453
TOTAL OTHER CHARGES	**—**	**$2,484,453**	**—**	**$2,484,453**
Acquisitions	—	30,000	—	30,000
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**—**	**$30,000**	**—**	**$30,000**
TOTAL EXPENDITURES	**—**	**$18,433,890**	**—**	**$18,433,890**

REVENUE COLLECTIONS/INCOME

Fees & Self-generated

002 - Fees & Self-generated Revenues

Source	Commitment Item	Commitment Item Name	FY2025-2026 Actuals	FY-2027 Estimate	FY2027-2028 Projected	Over/Under Current Year Estimate
SOURCE						
FEES AND SELF GENERATED	4710699	MR-INA	705,649	100,000	100,000	—
FEES & SELF GENERATED	4550487	FEES-BANKING-OFI	—	—	10,000	10,000
FEES & SELF GENERATED	4550488	FEES-BANKING-OFI	5,324,942	5,284,667	6,201,787	917,120
FEES & SELF GENERATED	4550489	FEES-BANKING-OFI	2,900	4,500	4,500	—
FEES & SELF GENERATED	4550490	FEES-BANKING-OFI	59,000	59,650	57,200	(2,450)
FEES & SELF GENERATED	4550491	FEES-BANKING-OFI	20,000	12,500	12,500	—
FEES & SELF GENERATED	4550493	FEES-BANKING-OFI	750	1,500	1,500	—
FEES & SELF GENERATED	4550494	FEES-BANKING-OFI	1,000	2,000	2,000	—
FEES & SELF GENERATED	4550495	FEES-BANKING-OFI	350	2,000	2,000	—
FEES & SELF GENERATED	4550498	FEES-BANKING-OFI	8,000	4,000	8,000	4,000
FEES & SELF GENERATED	4550500	FEES-BANKING-OFI	3,000	—	—	—
FEES & SELF GENERATED	4550509	FEES-BANKING-OFI	4,000	—	—	—
FEES & SELF GENERATED	4550527	FEES-BANKING-OFI	4,600	3,950	5,900	1,950
FEES & SELF GENERATED	4550535	FEES-BANKING-OFI	5,000	5,000	5,000	—
FEES & SELF GENERATED	4550537	FEES-BANKING-OFI	1,625	3,400	3,400	—
FEES & SELF GENERATED	4550544	FEES-BANKING-OFI	350	350	350	—
FEES & SELF GENERATED	4550546	FEES-BANKING-OFI	869,813	926,000	964,050	38,050
FEES & SELF GENERATED	4550547	FEES-BANKING-OFI	7,450	8,000	8,000	—
FEES & SELF GENERATED	4550550	FEES-GEN BUS-OFI	27,200	42,000	45,000	3,000
FEES & SELF GENERATED	4550551	FEES-GEN BUS-OFI	165,875	327,700	357,700	30,000
FEES & SELF GENERATED	4550552	FEES-GEN BUS-OFI	9,638	9,750	3,750	(6,000)
FEES & SELF GENERATED	4550555	FEES-GEN BUS-OFI	25	—	—	—
FEES & SELF GENERATED	4550557	FEES-GEN BUS-OFI	1,400	10,500	9,000	(1,500)
FEES & SELF GENERATED	4550560	FEES-GEN BUS-OFI	6,000	11,250	11,250	—

002 - Fees & Self-generated Revenues *(continued)*

Source	Commitment Item	Commitment Item Name	FY2025-2026 Actuals	FY-2027 Estimate	FY2027-2028 Projected	Over/Under Current Year Estimate
FEES & SELF GENERATED	4550562	FEES-GEN BUS-OFI	1,800	3,500	3,750	250
FEES & SELF GENERATED	4550563	FEES-GEN BUS-OFI	13,014	15,250	15,000	(250)
FEES & SELF GENERATED	4550573	FEES-GEN BUS-OFI	125	25	25	—
FEES & SELF GENERATED	4550575	FEES-GEN BUS-OFI	6,450	6,750	7,125	375
FEES & SELF GENERATED	4550576	FEES-GEN BUS-OFI	135,850	114,700	111,352	(3,348)
FEES & SELF GENERATED	4550577	FEES-GEN BUS-OFI	3,000	2,500	2,750	250
FEES & SELF GENERATED	4550578	FEES-GEN BUS-OFI	3,785	—	—	—
FEES & SELF GENERATED	4550580	FEES-GEN BUS-OFI	53,300	45,500	48,750	3,250
FEES & SELF GENERATED	4550581	FEES-GEN BUS-OFI	615,500	593,500	596,500	3,000
FEES & SELF GENERATED	4550583	FEES-GEN BUS-OFI	—	100	—	(100)
FEES & SELF GENERATED	4550584	FEES-GEN BUS-OFI	2,900	3,000	3,200	200
FEES & SELF GENERATED	4550585	FEES-GEN BUS-OFI	5,600	5,000	5,500	500
FEES & SELF GENERATED	4550586	FEES-GEN BUS-OFI	700	250	350	100
FEES & SELF GENERATED	4550587	FEES-GEN BUS-OFI	200	100	150	50
FEES & SELF GENERATED	4550592	FEES-GEN BUS-OFI	3,600	3,000	3,600	600
FEES & SELF GENERATED	4550594	FEES-GEN BUS-OFI	3,100	—	—	—
FEES & SELF GENERATED	4550595	FEES-GEN BUS-OFI	6,000	4,500	3,750	(750)
FEES & SELF GENERATED	4550596	FEES-GEN BUS-OFI	500	500	500	—
FEES & SELF GENERATED	4550597	FEES-GEN BUS-OFI	61,200	58,500	57,150	(1,350)
FEES & SELF GENERATED	4550599	FEES-GEN BUS-OFI	300	—	—	—
FEES & SELF GENERATED	4550600	FEES-GEN BUS-OFI	2,700	1,500	1,200	(300)
FEES & SELF GENERATED	4550601	FEES-GEN BUS-OFI	50	50	50	—
FEES & SELF GENERATED	4550603	FEES-GEN BUS-OFI	1,000	—	—	—
FEES & SELF GENERATED	4550604	FEES-GEN BUS-OFI	150	150	150	—
FEES & SELF GENERATED	4550605	FEES-GEN BUS-OFI	900	900	1,000	100
FEES & SELF GENERATED	4550606	FEES-GEN BUS-OFI	150	—	—	—
FEES & SELF GENERATED	4550607	FEES-GEN BUS-OFI	1,200	5,400	1,800	(3,600)
FEES & SELF GENERATED	4550610	FEES-GEN BUS-OFI	4,550	4,550	4,550	—

002 - Fees & Self-generated Revenues *(continued)*

Source	Commitment Item	Commitment Item Name	FY2025-2026 Actuals	FY-2027 Estimate	FY2027-2028 Projected	Over/Under Current Year Estimate
FEES & SELF GENERATED	4550611	FEES-GEN BUS-OFI	53,250	60,750	64,000	3,250
FEES & SELF GENERATED	4550612	FEES-GEN BUS-OFI	3,600	5,700	5,700	—
FEES & SELF GENERATED	4550613	FEES-GEN BUS-OFI	600	900	900	—
FEES & SELF GENERATED	4550614	FEES-GEN BUS-OFI	1,800	1,500	1,500	—
FEES & SELF GENERATED	4550615	FEES-GEN BUS-OFI	300	200	200	—
FEES & SELF GENERATED	4550616	FEES-GEN BUS-OFI	700	300	300	—
FEES & SELF GENERATED	4550617	FEES-GEN BUS-OFI	250	300	300	—
FEES & SELF GENERATED	4550619	FEES-GEN BUS-OFI	20,450	20,500	22,150	1,650
FEES & SELF GENERATED	4550620	FEES-GEN BUS-OFI	2,500	—	—	—
FEES & SELF GENERATED	4550621	FEES-GEN BUS-OFI	300	200	200	—
FEES & SELF GENERATED	4550622	FEES-GEN BUS-OFI	1,000	500	500	—
FEES & SELF GENERATED	4550623	FEES-GEN BUS-OFI	1,400	1,400	1,400	—
FEES & SELF GENERATED	4550624	FEES-GEN BUS-OFI	7,000	7,000	7,000	—
FEES & SELF GENERATED	4550625	FEES-GEN BUS-OFI	100	—	—	—
FEES & SELF GENERATED	4550629	FEES-GEN BUS-OFI	55,000	60,000	62,500	2,500
FEES & SELF GENERATED	4550630	FEES-GEN BUS-OFI	641,000	600,000	640,000	40,000
FEES & SELF GENERATED	4550631	FEES-GEN BUS-OFI	341,600	341,200	320,800	(20,400)
FEES & SELF GENERATED	4550632	FEES-GEN BUS-OFI	1,737,650	1,780,800	1,788,200	7,400
FEES & SELF GENERATED	4550633	FEES-GEN BUS-OFI	3,200	2,000	3,000	1,000
FEES & SELF GENERATED	4550634	FEES-GEN BUS-OFI	12,750	12,500	12,750	250
FEES & SELF GENERATED	4550635	FEES-GEN BUS-OFI	87,700	82,000	85,300	3,300
FEES & SELF GENERATED	4550636	FEES-GEN BUS-OFI	76,250	75,000	82,500	7,500
FEES & SELF GENERATED	4550637	FEES-GEN BUS-OFI	104,800	120,000	128,000	8,000
FEES & SELF GENERATED	4550638	FEES-GEN BUS-OFI	400	—	—	—
FEES & SELF GENERATED	4550642	FEES-GEN BUS-OFI	13,800	12,000	12,600	600
FEES & SELF GENERATED	4550643	FEES-GEN BUS-OFI	300	100	100	—
FEES & SELF GENERATED	4550644	FEES-GEN BUS-OFI	250	204,136	—	(204,136)
FEES & SELF GENERATED	4550647	FEES-GEN BUS-OFI	1,500	1,500	1,500	—

002 - Fees & Self-generated Revenues *(continued)*

Source	Commitment Item	Commitment Item Name	FY2025-2026 Actuals	FY-2027 Estimate	FY2027-2028 Projected	Over/Under Current Year Estimate
FEES & SELF GENERATED	4550648	FEES-GEN BUS-OFI	6,400	6,000	6,800	800
FEES & SELF GENERATED	4550649	FEES-GEN BUS-OFI	8,400	6,400	6,400	—
FEES & SELF GENERATED	4550650	FEES-GEN BUS-OFI	11,000	10,000	11,000	1,000
FEES & SELF GENERATED	4550651	FEES-GEN BUS-OFI	500	—	—	—
FEES & SELF GENERATED	4550652	FEES-GEN BUS-OFI	17,100	15,900	17,400	1,500
FEES & SELF GENERATED	4550653	FEES-GEN BUS-OFI	150	—	—	—
FEES & SELF GENERATED	4550654	FEES-GEN BUS-OFI	6,300	5,100	5,400	300
FEES & SELF GENERATED	4550656	FEES-GEN BUS-OFI	1,000	—	—	—
FEES & SELF GENERATED	4550660	FEES-GEN BUS-OFI	—	300	300	—
FEES & SELF GENERATED	4550664	FEES-GEN BUS-OFI	—	5,000	500	(4,500)
FEES & SELF GENERATED	4550666	FEES-GEN BUS-OFI	85,000	65,000	60,000	(5,000)
FEES & SELF GENERATED	4550668	FEES-GEN BUS-OFI	144,000	136,000	172,000	36,000
FEES & SELF GENERATED	4550670	FEES-GEN BUS-OFI	—	1,000	1,600	600
FEES & SELF GENERATED	4550675	FEES-GEN BUS-OFI	—	94,000	—	(94,000)
FEES & SELF GENERATED	4550676	FEES-OTHER-OFI	1,888,170	1,907,000	1,926,000	19,000
FEES & SELF GENERATED	4550677	FEES-OTHER-OFI	376,000	376,000	376,000	—
FEES & SELF GENERATED	4550678	FEES-OTHER-OFI	12,678,240	13,058,580	13,450,320	391,740
FEES & SELF GENERATED	4550679	FEES-OTHER-OFI	637,200	649,950	663,000	13,050
FEES & SELF GENERATED	4550680	FEES-OTHER-OFI	2,566,934	2,541,265	2,515,852	(25,413)
FEES & SELF GENERATED	4550681	FEES-OTHER-OFI	442,800	442,800	442,800	—
FEES & SELF GENERATED	4550701	FEES-LOAN REGISTRY	4,000	5,500	5,500	—
FEES & SELF GENERATED	4830016	PY CASH CARRYOVER	764,615	930,620	—	(930,620)
Total Collections/Income			**$30,963,450**	**$31,334,843**	**$31,583,361**	**$248,518**
TYPE						
Expenditures Source of Funding Form (BR-6)			12,994,175	15,943,697	18,433,890	2,490,193
Carryover			969,691	—	—	—
Income Not Available			705,649	100,000	100,000	—

002 - Fees & Self-generated Revenues *(continued)*

Source	Commitment Item	Commitment Item Name	FY2025-2026 Actuals	FY-2027 Estimate	FY2027-2028 Projected	Over/Under Current Year Estimate
Reversion			16,293,935	15,291,146	13,049,471	(2,241,675)
Total Expenditures, Transfers and Carry Forwards to Next FY			**$30,963,450**	**$31,334,843**	**$31,583,361**	**$248,518**
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

Justification of Differences

Form 57472 — 255 - Revenue Collections

Question	Narrative Response
Explain any transfers to other appropriations.	At the end of each fiscal year, all unspent revenue collections, less any carry forward of revenue to the next fiscal year, revert to the state's general fund.
Break out INA by Source of Funding.	Income Not Available is received from various self-generated revenue sources such as: charges for copies, return of appropriations from previous fiscal years, securities settlements, etc.
Additional information or comments.	The Office of Financial Institutions is allowed to carry forward unspent revenue collections for banks/thrifts and Business and Industrial Development Corporations (BIDCO)/Certified Louisiana Capital Companies (CAPCO) to the next fiscal year. $930,620.22 of bank/thrift fees was carried forward from FY 25/26 to FY 26/27.

SCHEDULE OF REQUESTED EXPENDITURES

2551 - Office of Financial Institutions

Travel

FY2027-2028 Request	Description
84,100	In-State and Out-of-State Conferences in subjects related to commercial lending, loan documentation, bankruptcies, fidelity and indemnity bond coverage, consumer credit, savings and loans, securities, real estate appraisal, etc.
13,601	In-State Travel by the Commissioner between Baton Rouge and various district offices as well as attendance at bank board meetings and other financial institution meetings. Out-of-State Travel by the Commissioner to attend meetings held by Conference of State Bank Supervisors (CSBS), Louisiana Finance Association (LFA), National Association of State Credit Union Supervisors (NASCUS), Louisiana Bankers Association (LBA), etc.
272,323	In-State Travel for routine administrative tasks and legislative matters; travel between Administrative Office and the District Offices; travel incurred to perform examinations of banks, thrifts, credit unions, finance companies, BIDCO/CAPCO, and any other state regulated financial entities. Training in subjects related to commercial lending, loan documentation, bankruptcies, fidelity and indemnity bond coverage, consumer credit, savings and loans, securities, real estate appraisal, and OFI's Annual Meeting. Out-of-State Travel to attend meetings held by the Federal Reserve Bank (FRB), Conference of State Bank Supervisors (CSBS), etc. by Deputy Commissioner and Chief Examiners; examinations conducted at out-of-state licensed lenders and banks by compliance examiners. Compliance examiners, review examiners, and other examiner-related positions attendance at training schools sponsored by Federal Deposit Insurance Corportion (FDIC), Conference of State Bank Supervisors (CSBS), Federal Reserve Bank (FRB), National Association of Consumer Credit Administrators (NACCA), etc.
$370,024	**Total Travel**

Operating Services

FY2027-2028 Request	Description
1,200	Advertising of judicial interest and vacant positions in all major city newspapers in Baton Rouge, New Orleans, Lafayette, Alexandria, Lake Charles, Monroe, New Orleans, Shreveport, etc.
700	Banking fees for JP Morgan Chase ACH Services.
549,082	Building Leases for Five (5) Buildings: Administrative/Baton Rouge District Office; Alexandria District Office; Lafayette District Office; Monroe District Office; and Shreveport District Office. Also, additional space to be added to Monroe District Office due to capacity issues with current space.
31,867	Cell phone and MIFI charges for the Commissioner, Deputy Commissioner, and Essential Personnel.

Operating Services *(continued)*

FY2027-2028 Request	Description
11,244	Fees for Drug Testing of Employees and Licensees; Pre-Employment Fees for Employees, such as credit reports, background checks, notary fees, etc.; Information for Investigations; Reports for Securities Division Performance Indicators; etc.
10,000	Maintenance and repairs for 11 state vehicles used for conducting examinations of regulated entities and office pool cars.
5,000	Maintenance/Repairs for Fax Machines, Shredders, & Other Miscellaneous Repairs.
6,300	Postage/Freight for large volume of correspondence including mailing applications, licenses, renewal notices, and other correspondences from the depository, non-depository and securities divisions.
210,590	Professional Membership Dues to organizations on behalf of OFI and its employees.
7,977	Rental of Copiers, Multi-Function Devices, etc.
2,640	Shredding Services
93,370	Subscriptions used by the Office of Financial Institutions (OFI) to enhance employees' knowledge on OFI related topics.
9,532	Utilities for Gas, Electricity, Sanitation and Environmental Fees for Alexandria District Office. Gas, Electricity, Water, Sewage, and Garbage Fees for Monroe District Office. Electricity for Administrative Office/Baton Rouge District Office for air conditioning/heat after hours and/or holidays.
$939,502	**Total Operating Services**

Supplies

FY2027-2028 Request	Description
500	First Aid Kit Supplies
25,000	Fuel, Oil, Lubricants, Batteries, Hoses, Belts, Starters, Spark Plugs, Points, Alternators, Windshield Wipers, etc. for eleven (11) state vehicles.
25,000	Office Supplies such as pens, pencils, copier paper, file folders, note pads, paper clips, binder clips, labels, etc.
23,720	Other supplies such as chairs, bookcases, tables, file cabinets, storage boxes, shredder bags, calculators, chair mats, library reference materials, fax machine developer and toner; electric staplers, etc.
5,000	Paper Towels, Cleaning Supplies, etc.
35,000	Toner Cartridges, Flash Drives, Cables, Computer Carts, Mice, Mice Pads, Notebook Batteries, Carrying Cases, Surge Protectors, Software, etc.
$114,220	**Total Supplies**

Professional Services

FY2027-2028 Request	Means of Financing	Description
51,309	Fees & Self-generated Revenues	
$51,309		**Legal services provided to this office for human resource related matters and issues that are outside the expertise of existing Legal Staff and that fall outside the purvue of the Attorney General's Office and/or Office of Risk Management.**
5,000	Fees & Self-generated Revenues	
$5,000		**Provide presentations on topics related to banking, thrifts, and other regulatory areas at OFI's Annual Meeting and any other professional services deemed necessary.**
$56,309	**Total Professional Services**	

Interagency Transfers

FY2027-2028 Request	Means of Financing	Receiving Agency	Description
300	Fees & Self-generated Revenues		
$300		**CS-DIV OF ADMINISTRATIVE LAW**	**Public Hearings conducted by administrative law judge.**
1,440	Fees & Self-generated Revenues		
$1,440		**DIVISION OF ADMINISTRATION**	**Office of State Register - Advertising & Subscription**
100,098	Fees & Self-generated Revenues		
$100,098		**DIVISION OF ADMINISTRATION**	**Rent in State Owned Buildings made payable to the Office of Facilities Corporation for OFI's New Orleans District Office located in Benson Towers in New Orleans, LA**
744	Fees & Self-generated Revenues		
$744		**DOA-OFFICE OF ST PROCUREMENT**	**Estimated proportional utilization of the Office of State Procurement (OSP) Ancillary Services such as purchasing, contractual review and RFPs.**
3,000	Fees & Self-generated Revenues		
$3,000		**DOA-OFFICE OF TECHNOLOGY SVCS**	**Office of Technology mailings/postage services.**
6,326	Fees & Self-generated Revenues		
$6,326		**DOA-OFFICE OF TECHNOLOGY SVCS**	**Office of Technology printing services.**

Interagency Transfers *(continued)*

FY2027-2028 Request	Means of Financing	Receiving Agency	Description
2,049,479	Fees & Self-generated Revenues		
$2,049,479		**DOA-OFFICE OF TECHNOLOGY SVCS**	**Office of Technology Services**
80,059	Fees & Self-generated Revenues		
$80,059		**DOA-OFFICE OF TECHNOLOGY SVCS**	**Office of Technology Services telecommunication charges.**
3,375	Fees & Self-generated Revenues		
$3,375		**LA PROPERTY ASSISTANCE AGENCY**	**Supplies and Acquisitions purchased from the Louisiana Property Assistance Agency (LPAA).**
51,180	Fees & Self-generated Revenues		
$51,180		**LEGISLATIVE AUDITOR**	**Louisiana Legislative Auditor (LLA) audit allocation based on cost of audit services provided to OFI.**
123,940	Fees & Self-generated Revenues		
$123,940		**OFFICE OF RISK MANAGEMENT**	**Insurance through the Office of Risk Management**
2,992	Fees & Self-generated Revenues		
$2,992		**OFFICE OF STATE POLICE**	**Investigatory Fees for background checks for new employees, bank board members, credit union board members, pawnbrokers, repossession agents and apprentices, loan brokers, etc.**
2,000	Fees & Self-generated Revenues		
$2,000		**SECRETARY OF STATE**	**Storage of records (archived) for maintaining documentary evidence in compliance with record retention policy. Also, purchasing required boxes from Archives storage of documents.**
53,594	Fees & Self-generated Revenues		
$53,594		**STATE CIVIL SERVICE**	**Pro-rata share of the cost of operations of Louisiana State Civil Service (SCS), which includes Comprehensive Public Training Program (CPTP).**
5,926	Fees & Self-generated Revenues		
$5,926		**UNIFORM PAYROLL OFFICE**	**Office of State Uniform Payroll (OSUP) charges**
$2,484,453	**Total Interagency Transfers**		

Acquisitions

FY2027-2028 Request	Means of Financing	New/Replacement	Acquisition Type	Quantitiy	Description
30,000	Fees & Self-generated Revenues				
$30,000		**New**	**OFFICE FURN**	**30**	**OFI is requesting Acquisition funds to replace office furniture that is more than 30 years old and extremely worn ($30,000).**
$30,000	**Total Acquisitions**				

Continuation Budget Adjustments

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	Existing Operating Budget as of 10/01/2026	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2027-2028 Requested Continuation Level
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	15,943,697	(76,070)	33,281	1,303,165	—	1,229,817	18,433,890
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$15,943,697**	**$(76,070)**	**$33,281**	**$1,303,165**	**—**	**$1,229,817**	**$18,433,890**

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2026	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2027-2028 Requested Continuation Level
Fees & Self-generated Revenues	15,943,697	(76,070)	33,281	1,303,165	—	1,229,817	18,433,890
Total:	**$15,943,697**	**$(76,070)**	**$33,281**	**$1,303,165**	**—**	**$1,229,817**	**$18,433,890**

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2026	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2027-2028 Requested Continuation Level
Total:	**—**	**—**	**—**	**—**	**—**	**—**	**—**

Expenditures and Positions

Description	Existing Operating Budget as of 10/01/2026	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2027-2028 Requested Continuation Level
Salaries	8,674,415	—	—	893,074	—	—	9,567,489
Other Compensation	57,328	—	—	162,728	—	—	220,056
Related Benefits	4,404,474	—	—	247,363	—	—	4,651,837
TOTAL PERSONAL SERVICES	**$13,136,217**	**—**	**—**	**$1,303,165**	**—**	**—**	**$14,439,382**
Travel	361,423	—	8,601	—	—	—	370,024
Operating Services	870,154	—	20,711	—	—	48,637	939,502
Supplies	111,560	—	2,660	—	—	—	114,220
TOTAL OPERATING EXPENSES	**$1,343,137**	**—**	**$31,972**	**—**	**—**	**$48,637**	**$1,423,746**
PROFESSIONAL SERVICES	**$70,000**	**$(15,000)**	**$1,309**	**—**	**—**	**—**	**$56,309**
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,333,273	—	—	—	—	1,151,180	2,484,453
TOTAL OTHER CHARGES	**$1,333,273**	**—**	**—**	**—**	**—**	**$1,151,180**	**$2,484,453**
Acquisitions	61,070	(61,070)	—	—	—	30,000	30,000
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$61,070**	**$(61,070)**	**—**	**—**	**—**	**$30,000**	**$30,000**
TOTAL EXPENDITURES	**$15,943,697**	**$(76,070)**	**$33,281**	**$1,303,165**	**—**	**$1,229,817**	**$18,433,890**
Classified	105	—	—	—	—	—	105
Unclassified	1	—	—	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	**106**	**—**	**—**	**—**	**—**	**—**	**106**
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**
TOTAL NON-T.O. FTE POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**

CONTINUATION BUDGET ADJUSTMENTS - SUMMARIZED

Form 59304 — FY27-28 Non-Recurring Carryforwards

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(39,070)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$(39,070)**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**$(15,000)**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	(24,070)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**$(24,070)**
TOTAL EXPENDITURES	**$(39,070)**

Form 59306 — FY27-28 Non-Recurring Acquisitions and Major Repairs

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(37,000)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$(37,000)**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	(37,000)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**$(37,000)**
TOTAL EXPENDITURES	**$(37,000)**

Form 59307 — FY27-28 Inflation

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	33,281
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$33,281**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	8,601
Operating Services	20,711
Supplies	2,660
TOTAL OPERATING EXPENSES	**$31,972**
PROFESSIONAL SERVICES	**$1,309**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$33,281**

Form 59496 — 255 - Personnel Services

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	1,303,165
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$1,303,165**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	893,074
Other Compensation	162,728
Related Benefits	247,363
TOTAL PERSONAL SERVICES	**$1,303,165**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$1,303,165**

Form 59497 — 255 - Legislative Auditor

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	1,045
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$1,045**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	1,045
TOTAL OTHER CHARGES	**$1,045**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$1,045**

Form 59498 — Operating Adjustment

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	48,637
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$48,637**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	48,637
Supplies	—
TOTAL OPERATING EXPENSES	**$48,637**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$48,637**

Form 62723 — Acquisitions

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	30,000
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$30,000**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	30,000
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**$30,000**
TOTAL EXPENDITURES	**$30,000**

Form 60446 — OTS IAT Request

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	1,150,135
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$1,150,135**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	1,150,135
TOTAL OTHER CHARGES	**$1,150,135**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$1,150,135**

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing

Description	Existing Operating Budget as of 10/01/2026	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2027-2028 Requested Continuation Level
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	15,943,697	(76,070)	33,281	1,303,165	—	1,229,817	18,433,890
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$15,943,697**	**$(76,070)**	**$33,281**	**$1,303,165**	**—**	**$1,229,817**	**$18,433,890**

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2026	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2027-2028 Requested Continuation Level
Fees & Self-generated Revenues	15,943,697	(76,070)	33,281	1,303,165	—	1,229,817	18,433,890
Total:	**$15,943,697**	**$(76,070)**	**$33,281**	**$1,303,165**	**—**	**$1,229,817**	**$18,433,890**

Expenditures and Positions

Description	Existing Operating Budget as of 10/01/2026	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2027-2028 Requested Continuation Level
Salaries	8,674,415	—	—	893,074	—	—	9,567,489
Other Compensation	57,328	—	—	162,728	—	—	220,056
Related Benefits	4,404,474	—	—	247,363	—	—	4,651,837
TOTAL PERSONAL SERVICES	**$13,136,217**	**—**	**—**	**$1,303,165**	**—**	**—**	**$14,439,382**
Travel	361,423	—	8,601	—	—	—	370,024
Operating Services	870,154	—	20,711	—	—	48,637	939,502
Supplies	111,560	—	2,660	—	—	—	114,220
TOTAL OPERATING EXPENSES	**$1,343,137**	**—**	**$31,972**	**—**	**—**	**$48,637**	**$1,423,746**
PROFESSIONAL SERVICES	**$70,000**	**$(15,000)**	**$1,309**	**—**	**—**	**—**	**$56,309**
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,333,273	—	—	—	—	1,151,180	2,484,453
TOTAL OTHER CHARGES	**$1,333,273**	**—**	**—**	**—**	**—**	**$1,151,180**	**$2,484,453**
Acquisitions	61,070	(61,070)	—	—	—	30,000	30,000
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$61,070**	**$(61,070)**	**—**	**—**	**—**	**$30,000**	**$30,000**
TOTAL EXPENDITURES	**$15,943,697**	**$(76,070)**	**$33,281**	**$1,303,165**	**—**	**$1,229,817**	**$18,433,890**
Classified	105	—	—	—	—	—	105
Unclassified	1	—	—	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	**106**	**—**	**—**	**—**	**—**	**—**	**106**
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**
TOTAL NON-T.O. FTE POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**

CONTINUATION BUDGET ADJUSTMENTS - BY PROGRAM

Form 59304 — FY27-28 Non-Recurring Carryforwards

2551 - Office of Financial Institutions

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(39,070)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$(39,070)**

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**$(15,000)**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	(24,070)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**$(24,070)**
TOTAL EXPENDITURES	**$(39,070)**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	(39,070)
Total:	**$(39,070)**

Statutory Dedications

	Amount
Total:	**—**

Supporting Detail

Means of Financing

Description	Amount
Fees & Self-generated Revenues	(39,070)
Total:	**$(39,070)**

Professional Services

Commitment item	Name	Amount
5500000	TOTAL PROF SERVICES	(15,000)
Total:		**$(15,000)**

Acquisitions

Commitment item	Name	Amount
5700000	TOTAL ACQUISITIONS	(24,070)
Total:		**$(24,070)**

Form 59306 — FY27-28 Non-Recurring Acquisitions and Major Repairs

2551 - Office of Financial Institutions

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(37,000)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$(37,000)**

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	(37,000)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**$(37,000)**
TOTAL EXPENDITURES	**$(37,000)**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	(37,000)
Total:	**$(37,000)**

Statutory Dedications

	Amount
Total:	**—**

Supporting Detail

Means of Financing

Description	Amount
Fees & Self-generated Revenues	(37,000)
Total:	**$(37,000)**

Acquisitions

Commitment item	Name	Amount
5700000	TOTAL ACQUISITIONS	24,070
5710224	ACQ-OFFICE FURN&EQP	(61,070)
Total:		**$(37,000)**

Form 59307 — FY27-28 Inflation

2551 - Office of Financial Institutions

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	33,281
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$33,281**

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	8,601
Operating Services	20,711
Supplies	2,660
TOTAL OPERATING EXPENSES	**$31,972**
PROFESSIONAL SERVICES	**$1,309**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$33,281**

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	33,281
Total:	**$33,281**

Statutory Dedications

	Amount
Total:	**—**

Supporting Detail

Means of Financing

Description	Amount
Fees & Self-generated Revenues	33,281
Total:	**$33,281**

Travel

Commitment item	Name	Amount
5210010	IN-STATE TRAVEL-ADM	16
5210015	IN-STATE TRAVEL-CONF	229
5210020	IN-STATE TRAV-FIELD	3,261
5210055	OUT-OF-STTRV-CONF	797
5210060	OUT-OF-STTRV-FIELD	3,323
5210110	CONFERENCE REG FEES	975
Total:		**$8,601**

Operating Services

Commitment item	Name	Amount
5310001	SERV-ADVERTISING	5
5310004	SERV-BANK FEES	17
5310010	SERV-DUES & OTHER	25
5310011	SERV-SUBSCRIPTIONS	789
5310014	SERV-DRUG TESTING	32
5310017	SERV-DOC DESTRUCTION	63
5310026	SERV-INVESTIGATE EXP	37
5310042	SERV-BAR DUES	45
5310048	SERV-SUBSCRIPTIONS	1,251
5310049	SERV-DUES & OTHER	4,244
5310050	SERV-DUES & OTHER	136
5310400	SERV-MISC	95
5330008	MAINT-EQUIPMENT	124
5330018	MAINT-AUTO REPAIRS	107
5330026	MAINT-SOFTWRE MTCE	62
5340010	RENT-REAL ESTATE	12,482

Operating Services *(continued)*

Commitment item	Name	Amount
5340020	RENT-EQUIPMENT	190
5350001	UTIL-INTERNET PROVID	198
5350004	UTIL-TELEPHONE SERV	587
5350005	UTIL-OTHER COMM SERV	60
5350006	UTIL-MAIL/DEL/POST	7
5350009	UTIL-GAS	31
5350010	UTIL-ELECTRICITY	93
5350011	UTIL-WATER	13
5350400	UTIL-OTHER	18
Total:		**$20,711**

Supplies

Commitment item	Name	Amount
5410001	SUP-OFFICE SUPPLIES	453
5410006	SUP-COMPUTER	834
5410008	SUP-MEDICAL	7
5410012	SUP-PERIODICALS	96
5410015	SUP-AUTO	161
5410017	SUP-JANITORIAL	72
5410031	SUP-REP/MNT SUP-AUTO	45
5410035	SUP-SOFTWARE	107
5410036	SUP-FUELTRAC	290
5410400	SUP-OTHER	595
Total:		**$2,660**

Professional Services

Commitment item	Name	Amount
5500000	TOTAL PROF SERVICES	(357)
5510005	PROF SERV-LEGAL	1,547
5510400	PROF SERV-OTHER	119
Total:		**$1,309**

Form 59496 — 255 - Personnel Services

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	1,303,165
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$1,303,165**

EXPENDITURES

	Amount
Salaries	893,074
Other Compensation	162,728
Related Benefits	247,363
TOTAL PERSONAL SERVICES	**$1,303,165**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$1,303,165**

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	1,303,165
Total:	**$1,303,165**

Statutory Dedications

	Amount
Total:	**—**

Question	Narrative Response
Explain the need for this request.	This request is needed to adjust current existing operating budget to match expenditures for salaries and related benefits per OFI's Payroll Projection report (PEP) as of October 1, 2026.
Cite performance indicators for the adjustment.	It is related to the general performance indicators of cost of operations and percentage of revenue expended.
What would the impact be if this is not funded?	If this adjustment is not funded, it would force OFI to hold vacancies or not fund these salary and related benefit increases to existing personnel.
Is revenue a fixed amount or can it be adjusted?	The requested revenue can be adjusted based upon the recommended level of the expenditure.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, it can be adjusted and is not restricted to certain line items.
Additional information or comments.	

Form 59497 — 255 - Legislative Auditor

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	1,045
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$1,045**

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	1,045
TOTAL OTHER CHARGES	**$1,045**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$1,045**

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	1,045
Total:	**$1,045**

Statutory Dedications

	Amount
Total:	**—**

Question	Narrative Response
Explain the need for this request.	This request is to increase IAT budget authority needed to pay FY 2027-2028 audit services to the Louisiana Legislative Auditor (LLA). In FY 2026-2027, OFI is budgeted $50,135 for audit services and needs $51,180 for audit services in FY 2027-2028. The difference of $51,180 less $50,135 = $1,045.
Cite performance indicators for the adjustment.	This adjustment is relative to the general performance indicators: 'cost of operations' and 'percentages of revenue expended'.
What would the impact be if this is not funded?	OFI would not have enough budget authority needed to pay for Louisiana Legislative Auditor's cost of audit services in FY 2027-2028.
Is revenue a fixed amount or can it be adjusted?	The revenue requested can be adjusted based upon the recommended level of the expenditures.
Is the expenditure of these revenues restricted?	Since OFI is 100% self-generated and revenue is assessed based on expenditures, it can be adjusted and is not restricted to certain line items.
Additional information or comments.	See attached October 6, 2026, letter received from the Louisiana Legislative Auditor for the cost of audit services for FY 2027-2028.

LOUISIANA LEGISLATIVE AUDITOR

MICHAEL J. "MIKE" WAGUESPACK, CPA
LOUISIANA LEGISLATIVE AUDITOR

October 6, 2026

Mr. P. Scott Jolly, Commissioner
Office of Financial Institutions
Post Office Box 94095
Baton Rouge, Louisiana 70804-9095

Sent via Email:
sjolly@ofi.louisiana.gov

Forwarded via USPS

Dear Commissioner Jolly,

Act 912 of the 2026 Regular Legislative Session authorized the Legislative Auditor to allocate and collect from each auditee included in the state's Annual Comprehensive Financial Report such amounts as may be reasonably necessary to compensate the Legislative Auditor for services rendered.

I understand your agency is currently preparing its budget for the 2027-2028 fiscal year. I ask that you include $51,180.00 for the 2027-2028 regular allocation of audit costs in your budget.

Your agency's audit allocation is based on the cost of audit services provided to you. If additional audit services are requested or required, those services may result in additional audit costs charged to your agency. Those costs would be discussed with you prior to any additional allocation.

Inquiries concerning this allocation should be directed to Ms. Beth Q. Davis, CPA, First Assistant Legislative Auditor, at bdavis@lla.la.gov or (225) 339-3977. I appreciate the many courtesies extended to my staff over the years and look forward to working with you in the future.

Sincerely,

Michael J. Waguespack, CPA
Louisiana Legislative Auditor

MJW:BQD:tr

Allocation Letter 2028-3426

1600 NORTH 3RD STREET P.O. BOX 94397 BATON ROUGE, LA 70804-9397
PHONE 225-339-3800 | FAX 225-339-3870 | LLA.LA.GOV

Form 59498 — Operating Adjustment

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	48,637
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$48,637**

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	48,637
Supplies	—
TOTAL OPERATING EXPENSES	**$48,637**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$48,637**

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	48,637
Total:	**$48,637**

Statutory Dedications

	Amount
Total:	**—**

Question	Narrative Response
Explain the need for this request.	OFI is requesting additional funds in Operating Services due to lease renewal cost reflecting an increase for our Main Office in Baton Rouge of ($33,444.32-$31,085.03 = $2,359.29*12 months) $28,311 and the Monroe District Office due to capacity issues and owner upgrades to make office suitable for staff estimated at a cost of $20,326. Total requested $28,311+$20,326=$48,637.
Cite performance indicators for the adjustment.	It is related to the general performance indicators 'cost of operations' and 'percentage of revenue expended'.
What would the impact be if this is not funded?	OFI would not have enough budget authority to pay for additional leased office space with upgrades along with annual increases for leases.
Is revenue a fixed amount or can it be adjusted?	The revenue can be adjusted based upon the recommended level of expenditures.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, revenue can be adjusted and is not restricted to certain line items.
Additional information or comments.	

Form 62723 — Acquisitions

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	30,000
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$30,000**

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	**—**
Acquisitions	30,000
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**$30,000**
TOTAL EXPENDITURES	**$30,000**

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	30,000
Total:	**$30,000**

Statutory Dedications

	Amount
Total:	**—**

Question	Narrative Response
Explain the need for this request.	OFI is requesting Acquisition funds to replace office furniture that is more than 30 years old and extremely worn ($30,000)
Cite performance indicators for the adjustment.	It is related to the general performance indicators 'cost of operations' and 'percentage of revenue expended.
What would the impact be if this is not funded?	OFI would not have enough budget authority to purchase needed office furniture that would allow its employees to more effectively perform their job duties.
Is revenue a fixed amount or can it be adjusted?	The revenue can be adjusted based upon the recommended level of expenditures.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, revenue can be adjusted and is not restricted to certain line items.
Additional information or comments.	

Form 60446 — OTS IAT Request

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	1,150,135
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	**$1,150,135**

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	**—**
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	**—**
PROFESSIONAL SERVICES	**—**
Other Charges	—
Debt Service	—
Interagency Transfers	1,150,135
TOTAL OTHER CHARGES	**$1,150,135**
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	**—**
TOTAL EXPENDITURES	**$1,150,135**

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-generated Revenues	1,150,135
Total:	**$1,150,135**

Statutory Dedications

	Amount
Total:	**—**

Question	Narrative Response
Explain the need for this IT request.	OFI is requesting to purchase a new Regulatory Workflow Database to replace its aging STAR database, to purchase Chainalysis Software to use during Virtual Currency Examinations, and to purchase 4 licenses of Google Gemini AI software.
Provide details related to this request.	Estimated Costs are as follows: Regulatory Workflow Database - $1,250,000; Chainalysis software - $47,998; Google Gemini AI software - $12,000 (4 licenses x $250/month x 12 months). The total estimated cost for the new IT Direct Purchase items noted above is $1,309,998. The difference between the $1,309,998 of new purchase requests and the $1,150,135 total OTS IAT requested on the previous page is $159,863 of nonrecurring FY 26/27 OTS IAT purchases.
Cite performance indicators for the adjustment.	This request is to provide a new Regulatory Workflow Database, Chainalysis software, and AI software for staff to use on a daily basis to perform their job duties. It is related to the general performance indicator 'cost of operations' and 'percentage of revenue expended'.
What would the impact be if this is not funded?	Failure to replace OFI's Regulatory Workflow Database and to purchase Chainalysis and AI software would lower productivity since staff using an old system and not having access to needed software may not be able to efficiently perform their work.
Is revenue a fixed amount or can it be adjusted?	The requested revenue can be adjusted based upon the recommended level of expenditure.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, revenue can be adjusted and is not restricted to certain line items.

Christine Kirkland

From: Chris Dupre
Sent: Thursday, September 24, 2026 6:29 AM
To: Christine Kirkland
Subject: Fw: State Regulatory ballpark upgrade estimate

Follow Up Flag: Follow up
Flag Status: Flagged

FYI: Estimate from Tyler Technologies for cost estimate of STAR replacement.

Thank you.

Christopher P. Dupré – OTS-OFI

From: Stokes, Craig <Craig.Stokes@tylerfederal.com>
Sent: Wednesday, September 23, 2026 5:36 PM
To: Chris Dupre <cdupre@ofi.la.gov>
Cc: Lester, Katie <Katie.Lester@tylertech.com>
Subject: State Regulatory ballpark upgrade estimate

EXTERNAL EMAIL: Please do not click on links or attachments unless you know the content is safe.

Hi Chris,

Here's the ballpark estimate I received for an upgrade from STAR to State Regulatory.

We are providing a preliminary budgetary estimate and initial planning assumptions for upgrading to one of Tyler's modern regulatory platforms. Based on the limited analysis completed to date, we estimate professional services in the range of $750,000 to $1,250,000.

Following a more detailed review of the agency's current configurations, business processes, integrations, and data landscape, along with alignment on a future-state vision to improve both operations and the constituent experience, we will refine the estimate accordingly. This process will also highlight practical opportunities for change management, user adoption, and process improvements, helping ensure the transition is well supported and positioned to deliver long-term value.

These solutions are delivered as SaaS offerings, including hosting and ongoing management within a secure AWS environment. Depending on availability and disaster recovery requirements, the estimated annual recurring cost is projected to range from $136,000 to $240,000.

At this stage, the preliminary implementation timeline is approximately 18 months.

We appreciate your continued partnership and look forward to working together through a deeper discovery process to further refine scope and estimates.

1

Let me know if you or your team have any questions.

Thanks,

Craig Stokes
Director - Software Support
Tyler Technologies, Inc.
314.530.3928
https://link.edgepilot.com/x/9xrVUTysZdOSLjaUQEy5oM8?u=http://www.tylertech.com/



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Links contained in this email have been replaced. If you click on a link in the email above, the link will be analyzed for known threats. If a known threat is found, you will not be able to proceed to the destination. If suspicious content is detected, you will see a warning.

2

Quote

) Z3-24762

Chainalysis
GOVERNMENT SOLUTIONS
Chainalysis Government Solutions, LLC (CGS, LLC)
P.O. Box 995 / Great Falls, VA 22066
contracts@chainalysis-gov.com

Information

Legal Name: ("Licensee")	("Louisiana Office of Financial Institutions")	**Billing Address:**	8660 United Plaza Boulevard, 2nd Floor Baton Rouge LA, 70809 United States
Primary Contact:	Michelle Jeansonne	**Billing Contact:**	
Primary Email:	mjeansonne@ofi.la.gov	**Billing Email:**	
Primary Phone:	225-925-4660	**Billing Phone:**	

Order Summary

Order start date:	Dec 1, 2023	**Billing start date:**	Dec 1, 2023
Order end date:	Nov 30, 2024	**Billing frequency:**	Annual
Order term:	12.0 (Months)	**Payment terms:**	Net 30

Subscription Service Information

Service Name	Quantity
Risk - Gold	1
--- Address Monitoring - Gold	100,000 Screens / Annual
--- Address - Overage (Overage fee of 0 per Screen)	
--- Reactor - Risk - Named	1
--- Storyline - Named	1
--- Support Level - Gold - Commercial	1
--- Regional Course: Crypto Certification (CCFC) - Virtual participant - Packaged	4
--- Regional Course: KYT Certification (CKC) - Virtual participant - Packaged	4
--- Regional Course: Reactor Certification (CRC) - Virtual participant - Packaged	4

Total Order Value: USD 47,997.80

Prices quoted are valid only through the Order expiration date above and prices shown do not include any taxes that may apply; any taxes will be shown on invoices. This Order is non-cancellable before the Order End Date above, and fees paid are nonrefundable, except as specified in the MSA (as defined below).

This quote is subject to Chainalysis' Master Subscription Agreement (the "MSA," available at https://www.chainalysis.com/msa/). If the Licensee or end user is a public sector entity (i.e., government owned or controlled), the MSA is amended by the Chainalysis Public Sector Amendment (the "PubSec Amendment," available at https://www.chainalysis.com/msa/pubsecamendment/). These terms will be deemed incorporated into any award resulting from this quote. In the event the quote is referenced in a purchase order generated by Licensee or a reseller, the MSA (as amended by the PubSec Amendment where applicable) will be deemed to be incorporated therein by reference even if not explicitly referenced, with the same force and effect as if set forth therein in full text. These terms will take precedence over any other terms, including those referenced in such purchase order. In the event the party receiving this proposal is a reseller, unless otherwise agreed in writing between the parties, it shall be reseller's obligation to ensure the MSA, as amended, applies and controls with respect to the end user's use of any Chainalysis products and services.



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Technical and Other Adjustments

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in this Adjustment Package	FY2027-2028 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—
FEES & SELF-GENERATED	15,943,697	2,490,193	—	18,433,890
STATUTORY DEDICATIONS	—	—	—	—
FEDERAL FUNDS	—	—	—	—
TOTAL MEANS OF FINANCING	**$15,943,697**	**$2,490,193**	**—**	**$18,433,890**
Salaries	8,674,415	893,074	—	9,567,489
Other Compensation	57,328	162,728	—	220,056
Related Benefits	4,404,474	247,363	—	4,651,837
TOTAL PERSONAL SERVICES	**$13,136,217**	**$1,303,165**	**—**	**$14,439,382**
Travel	361,423	8,601	—	370,024
Operating Services	870,154	69,348	—	939,502
Supplies	111,560	2,660	—	114,220
TOTAL OPERATING EXPENSES	**$1,343,137**	**$80,609**	**—**	**$1,423,746**
PROFESSIONAL SERVICES	**$70,000**	**$(13,691)**	**—**	**$56,309**
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	1,333,273	1,151,180	—	2,484,453
TOTAL OTHER CHARGES	**$1,333,273**	**$1,151,180**	**—**	**$2,484,453**
Acquisitions	61,070	(31,070)	—	30,000
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$61,070**	**$(31,070)**	**—**	**$30,000**
TOTAL EXPENDITURES	**$15,943,697**	**$2,490,193**	**—**	**$18,433,890**
Classified	**105**	**—**	**—**	**105**
Unclassified	**1**	**—**	**—**	**1**
TOTAL AUTHORIZED T.O. POSITIONS	**106**	**—**	**—**	**106**
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	**—**	**—**	**—**	**—**
TOTAL NON-T.O. FTE POSITIONS	**—**	**—**	**—**	**—**

PROGRAM BREAKOUT

Means of Financing	Requested in this Adjustment Package	2551 Office of Financial Institutions
STATE GENERAL FUND (Direct)	—	—
STATE GENERAL FUND BY:	—	—
INTERAGENCY TRANSFERS	—	—
FEES & SELF-GENERATED	—	—
STATUTORY DEDICATIONS	—	—
FEDERAL FUNDS	—	—
TOTAL MEANS OF FINANCING	**—**	**—**
Salaries	—	—
Other Compensation	—	—
Related Benefits	—	—
TOTAL SALARIES	**—**	**—**
Travel	—	—
Operating Services	—	—
Supplies	—	—
TOTAL OPERATING EXPENSES	**—**	**—**
PROFESSIONAL SERVICES	**—**	**—**
Other Charges	—	—
Debt Service	—	—
Interagency Transfers	—	—
TOTAL OTHER CHARGES	**—**	**—**
Acquisitions	—	—
Major Repairs	—	—
TOTAL ACQ. & MAJOR REPAIRS	**—**	**—**
TOTAL EXPENDITURES & REQUEST	**—**	**—**
Classified	**—**	**—**
Unclassified	**—**	**—**
TOTAL AUTHORIZED T.O. POSITIONS	**—**	**—**
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	**—**	**—**
TOTAL NON-T.O. FTE POSITIONS	**—**	**—**

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in this Adjustment Package	FY2027-2028 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—
FEES & SELF-GENERATED	15,943,697	2,490,193	—	18,433,890
STATUTORY DEDICATIONS	—	—	—	—
FEDERAL FUNDS	—	—	—	—
TOTAL MEANS OF FINANCING	**$15,943,697**	**$2,490,193**	**—**	**$18,433,890**
Salaries	8,674,415	893,074	—	9,567,489
Other Compensation	57,328	162,728	—	220,056
Related Benefits	4,404,474	247,363	—	4,651,837
TOTAL PERSONAL SERVICES	**$13,136,217**	**$1,303,165**	**—**	**$14,439,382**
Travel	361,423	8,601	—	370,024
Operating Services	870,154	69,348	—	939,502
Supplies	111,560	2,660	—	114,220
TOTAL OPERATING EXPENSES	**$1,343,137**	**$80,609**	**—**	**$1,423,746**
PROFESSIONAL SERVICES	**$70,000**	**$(13,691)**	**—**	**$56,309**
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	1,333,273	1,151,180	—	2,484,453
TOTAL OTHER CHARGES	**$1,333,273**	**$1,151,180**	**—**	**$2,484,453**
Acquisitions	61,070	(31,070)	—	30,000
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$61,070**	**$(31,070)**	**—**	**$30,000**
TOTAL EXPENDITURES	**$15,943,697**	**$2,490,193**	**—**	**$18,433,890**
Classified	**105**	**—**	**—**	**105**
Unclassified	**1**	**—**	**—**	**1**
TOTAL AUTHORIZED T.O. POSITIONS	**106**	**—**	**—**	**106**
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	**—**	**—**	**—**	**—**
TOTAL NON-T.O. FTE POSITIONS	**—**	**—**	**—**	**—**

New or Expanded Requests

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing and Expenditures	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in Technical/Other Package	FY2027-2028 Requested New/Expanded	FY2027-2028 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	15,943,697	2,490,193	—	—	18,433,890
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**
Salaries	8,674,415	893,074	—	—	9,567,489
Other Compensation	57,328	162,728	—	—	220,056
Related Benefits	4,404,474	247,363	—	—	4,651,837
TOTAL PERSONAL SERVICES	**$13,136,217**	**$1,303,165**	**—**	**—**	**$14,439,382**
Travel	361,423	8,601	—	—	370,024
Operating Services	870,154	69,348	—	—	939,502
Supplies	111,560	2,660	—	—	114,220
TOTAL OPERATING EXPENSES	**$1,343,137**	**$80,609**	**—**	**—**	**$1,423,746**
PROFESSIONAL SERVICES	**$70,000**	**$(13,691)**	**—**	**—**	**$56,309**
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,333,273	1,151,180	—	—	2,484,453
TOTAL OTHER CHARGES	**$1,333,273**	**$1,151,180**	**—**	**—**	**$2,484,453**
Acquisitions	61,070	(31,070)	—	—	30,000
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$61,070**	**$(31,070)**	**—**	**—**	**$30,000**
TOTAL EXPENDITURES	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**
Classified	105	—	—	—	105
Unclassified	1	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in Technical/Other Package	FY2027-2028 Requested New/Expanded	FY2027-2028 Requested Realignment
Fees & Self-generated Revenues	15,943,697	2,490,193	—	—	18,433,890
Total:	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in Technical/Other Package	FY2027-2028 Requested New/Expanded	FY2027-2028 Requested Realignment
Total:	**—**	**—**	**—**	**—**	**—**

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing and Expenditures	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in Technical/Other Package	FY2027-2028 Requested New/Expanded	FY2027-2028 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	15,943,697	2,490,193	—	—	18,433,890
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**
Salaries	8,674,415	893,074	—	—	9,567,489
Other Compensation	57,328	162,728	—	—	220,056
Related Benefits	4,404,474	247,363	—	—	4,651,837
TOTAL PERSONAL SERVICES	**$13,136,217**	**$1,303,165**	**—**	**—**	**$14,439,382**
Travel	361,423	8,601	—	—	370,024
Operating Services	870,154	69,348	—	—	939,502
Supplies	111,560	2,660	—	—	114,220
TOTAL OPERATING EXPENSES	**$1,343,137**	**$80,609**	**—**	**—**	**$1,423,746**
PROFESSIONAL SERVICES	**$70,000**	**$(13,691)**	**—**	**—**	**$56,309**
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,333,273	1,151,180	—	—	2,484,453
TOTAL OTHER CHARGES	**$1,333,273**	**$1,151,180**	**—**	**—**	**$2,484,453**
Acquisitions	61,070	(31,070)	—	—	30,000
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$61,070**	**$(31,070)**	**—**	**—**	**$30,000**
TOTAL EXPENDITURES	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**
Classified	105	—	—	—	105
Unclassified	1	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in Technical/Other Package	FY2027-2028 Requested New/Expanded	FY2027-2028 Requested Realignment
Fees & Self-generated Revenues	15,943,697	2,490,193	—	—	18,433,890
Total:	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustment	FY2027-2028 Requested in Technical/Other Package	FY2027-2028 Requested New/Expanded	FY2027-2028 Requested Realignment
Total:	**—**	**—**	**—**	**—**	**—**



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Total Request Summary

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustments	FY2027-2028 Requested in Technical/Other Adjustments	FY2027-2028 Requested New or Expanded Adjustments	FY2027-2028 Total Request	Over/Under EOB
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	12,994,175	15,943,697	2,490,193	—	—	18,433,890	2,490,193
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$12,994,175**	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**	**$2,490,193**

Statutory Dedications

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustments	FY2027-2028 Requested in Technical/Other Adjustments	FY2027-2028 Requested New or Expanded Adjustments	FY2027-2028 Total Request	Over/Under EOB
Total:	—	—	—	—	—	—	—

Expenditures and Positions

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustments	FY2027-2028 Requested in Technical/Other Adjustments	FY2027-2028 Requested New or Expanded Adjustments	FY2027-2028 Total Request	Over/Under EOB
Salaries	7,104,269	8,674,415	893,074	—	—	9,567,489	893,074
Other Compensation	44,577	57,328	162,728	—	—	220,056	162,728
Related Benefits	3,790,753	4,404,474	247,363	—	—	4,651,837	247,363
TOTAL PERSONAL SERVICES	**$10,939,598**	**$13,136,217**	**$1,303,165**	**—**	**—**	**$14,439,382**	**$1,303,165**
Travel	194,859	361,423	8,601	—	—	370,024	8,601
Operating Services	793,515	870,154	69,348	—	—	939,502	69,348
Supplies	43,848	111,560	2,660	—	—	114,220	2,660
TOTAL OPERATING EXPENSES	**$1,032,222**	**$1,343,137**	**$80,609**	**—**	**—**	**$1,423,746**	**$80,609**
PROFESSIONAL SERVICES	**$15,513**	**$70,000**	**$(13,691)**	**—**	**—**	**$56,309**	**$(13,691)**
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,001,655	1,333,273	1,151,180	—	—	2,484,453	1,151,180
TOTAL OTHER CHARGES	**$1,001,655**	**$1,333,273**	**$1,151,180**	**—**	**—**	**$2,484,453**	**$1,151,180**
Acquisitions	5,187	61,070	(31,070)	—	—	30,000	(31,070)
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$5,187**	**$61,070**	**$(31,070)**	**—**	**—**	**$30,000**	**$(31,070)**
TOTAL EXPENDITURES	**$12,994,175**	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**	**$2,490,193**
Classified	105	105	—	—	—	105	—
Unclassified	1	1	—	—	—	1	—
TOTAL AUTHORIZED T.O. POSITIONS	**106**	**106**	**—**	**—**	**—**	**106**	**—**
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**
TOTAL NON-T.O. FTE POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustments	FY2027-2028 Requested in Technical/Other Adjustments	FY2027-2028 Requested New or Expanded Adjustments	FY2027-2028 Total Request	Over/Under EOB
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	12,994,175	15,943,697	2,490,193	—	—	18,433,890	2,490,193
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	**$12,994,175**	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**	**$2,490,193**

Expenditures and Positions

Description	FY2025-2026 Actuals	Existing Operating Budget as of 10/01/2026	FY2027-2028 Requested Continuation Adjustments	FY2027-2028 Requested in Technical/Other Adjustments	FY2027-2028 Requested New or Expanded Adjustments	FY2027-2028 Total Request	Over/Under EOB
Salaries	7,104,269	8,674,415	893,074	—	—	9,567,489	893,074
Other Compensation	44,577	57,328	162,728	—	—	220,056	162,728
Related Benefits	3,790,753	4,404,474	247,363	—	—	4,651,837	247,363
TOTAL PERSONAL SERVICES	**$10,939,598**	**$13,136,217**	**$1,303,165**	**—**	**—**	**$14,439,382**	**$1,303,165**
Travel	194,859	361,423	8,601	—	—	370,024	8,601
Operating Services	793,515	870,154	69,348	—	—	939,502	69,348
Supplies	43,848	111,560	2,660	—	—	114,220	2,660
TOTAL OPERATING EXPENSES	**$1,032,222**	**$1,343,137**	**$80,609**	**—**	**—**	**$1,423,746**	**$80,609**
PROFESSIONAL SERVICES	**$15,513**	**$70,000**	**$(13,691)**	**—**	**—**	**$56,309**	**$(13,691)**
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,001,655	1,333,273	1,151,180	—	—	2,484,453	1,151,180
TOTAL OTHER CHARGES	**$1,001,655**	**$1,333,273**	**$1,151,180**	**—**	**—**	**$2,484,453**	**$1,151,180**
Acquisitions	5,187	61,070	(31,070)	—	—	30,000	(31,070)
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	**$5,187**	**$61,070**	**$(31,070)**	**—**	**—**	**$30,000**	**$(31,070)**
TOTAL EXPENDITURES	**$12,994,175**	**$15,943,697**	**$2,490,193**	**—**	**—**	**$18,433,890**	**$2,490,193**
Classified	105	105	—	—	—	105	—
Unclassified	1	1	—	—	—	1	—
TOTAL AUTHORIZED T.O. POSITIONS	**106**	**106**	**—**	**—**	**—**	**106**	**—**
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**
TOTAL NON-T.O. FTE POSITIONS	**—**	**—**	**—**	**—**	**—**	**—**	**—**

Addenda

Agency: 255 OFFICE OF FINANCIAL INSTITUTIONS

STATE OF LOUISIANA
Childrens Budget
Department Summary

CHILD - DS
Fiscal Year 2027 - 2028
Report Date: 10/30/26

Service Number	Service Name	Agency Number	Agency Name	General Fund	IAT	Self Generated	Stat Deds	Federal Funds	Total Funds	Positions
			Total:	$0	$0	$0	$0	$0	$0	0

Agency: 255 OFFICE OF FINANCIAL INSTITUTIONS

STATE OF LOUISIANA
Childrens Budget
Agency Summary

CHILD - AS
Fiscal Year 2027 - 2028
Report Date: 10/30/26

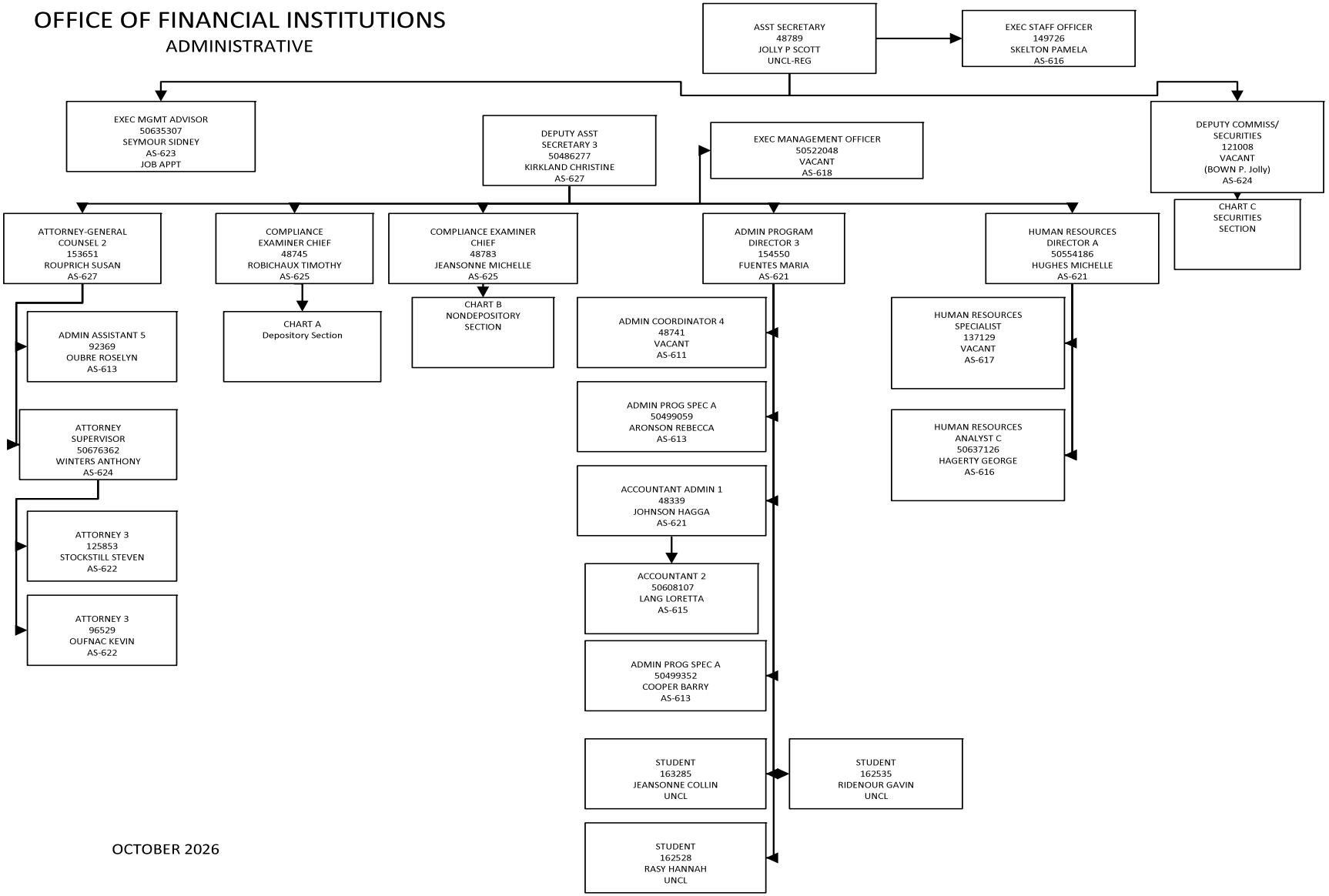
Service Number	Service Name	Program Number	Program Name	General Fund	IAT	Self Generated	Stat Deds	Federal Funds	Total Funds	Positions
			Total:	$0	$0	$0	$0	$0	$0	0

Form ID:	
Form Description:	
Service:	

Question and Narrative Response

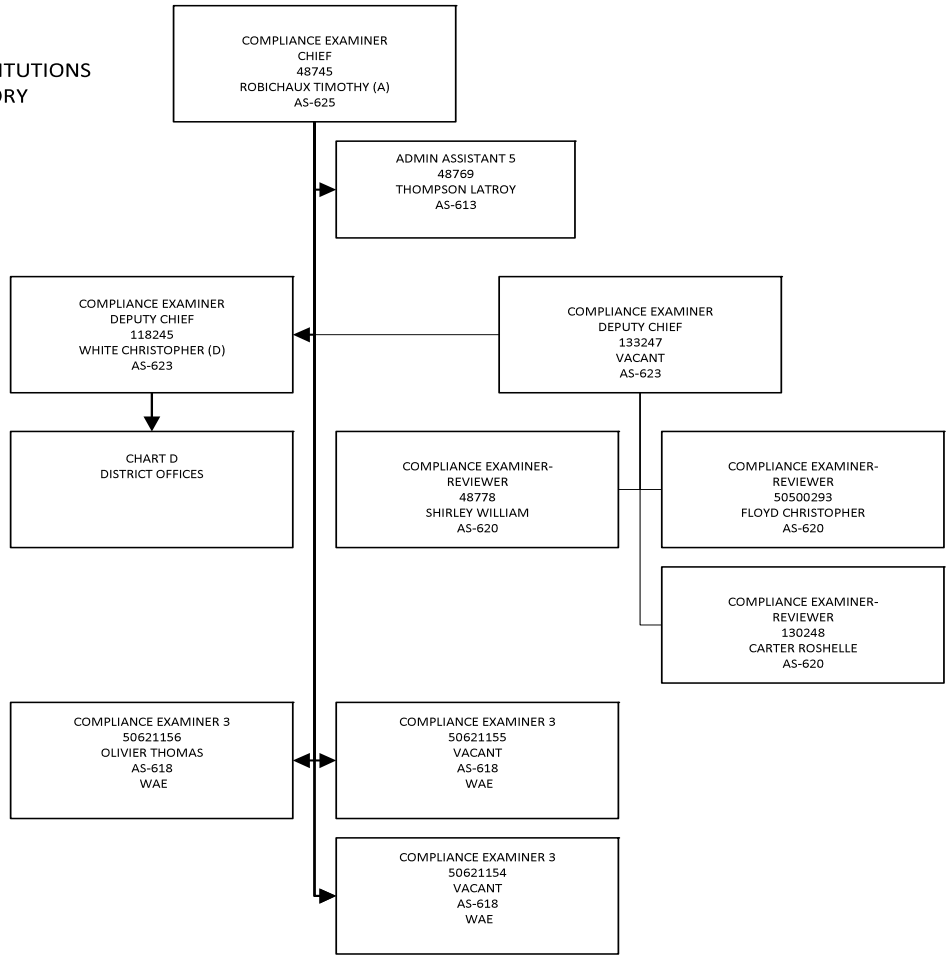
GENERAL ADDENDA

OFFICE OF FINANCIAL INSTITUTIONS
ADMINISTRATIVE



OCTOBER 2026

OFFICE OF FINANCIAL INSTITUTIONS
CHART A - DEPOSITORY

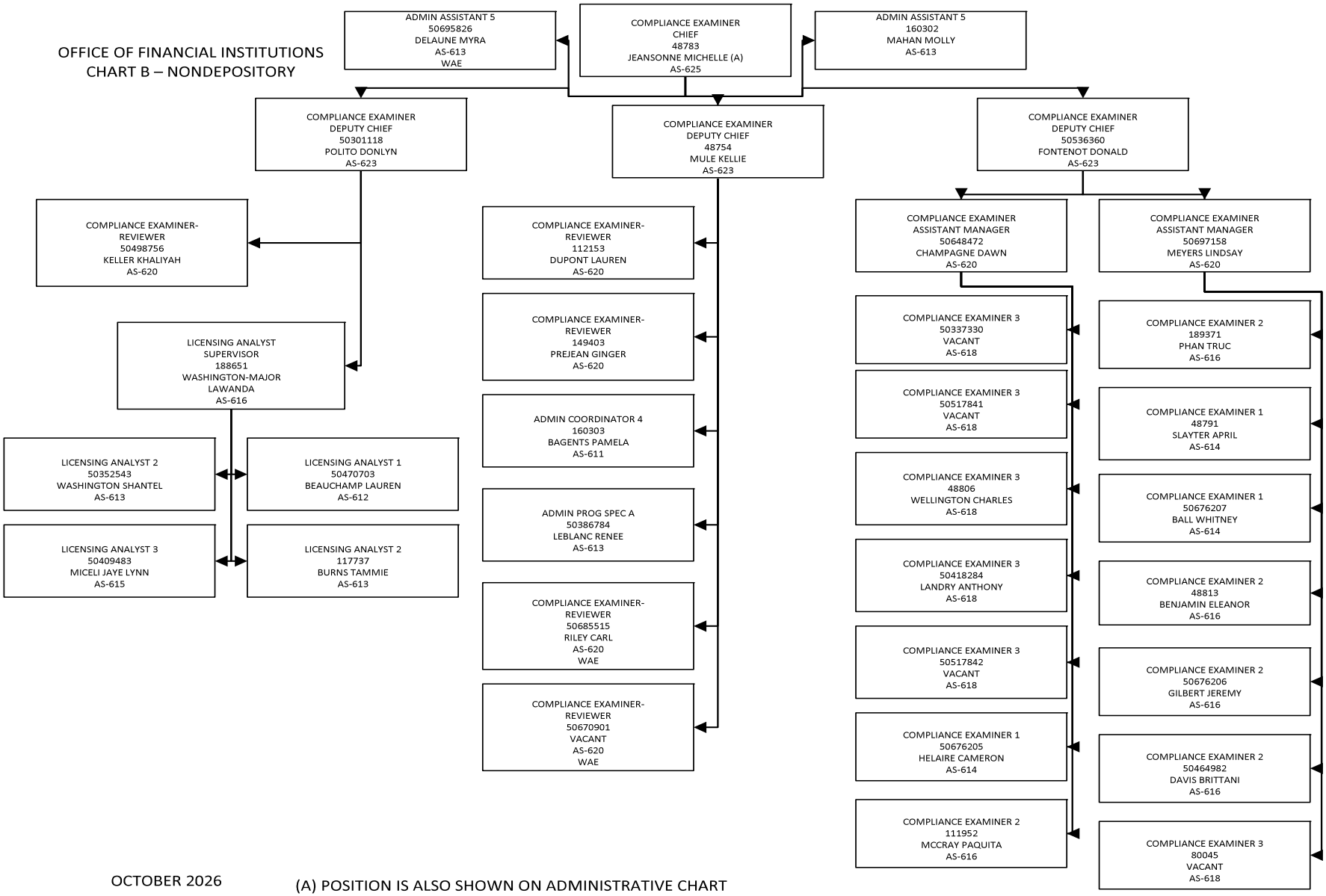


(A) POSITION IS ALSO SHOWN ON ADMINISTRATIVE CHART

(D) POSITION IS ALSO SHOWN ON DISTRICT OFFICES CHART

OCTOBER 2026

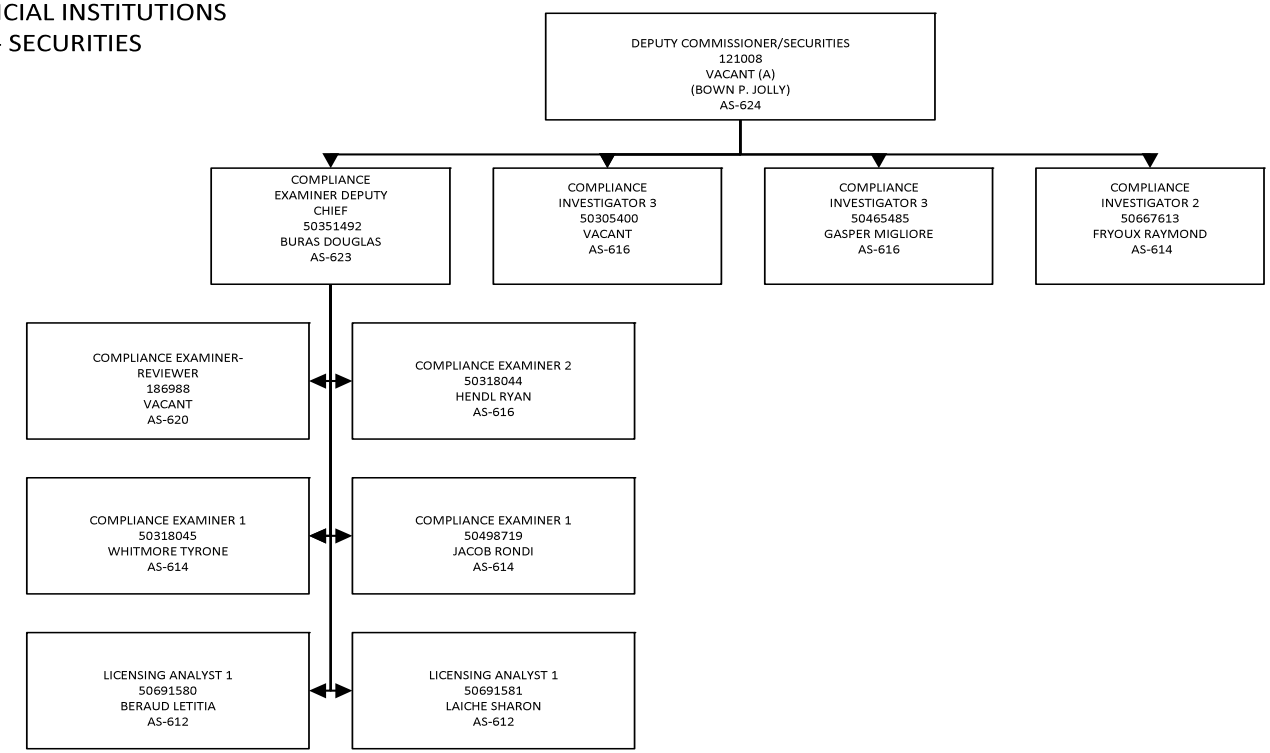
OFFICE OF FINANCIAL INSTITUTIONS
CHART B – NONDEPOSITORY



OCTOBER 2026

(A) POSITION IS ALSO SHOWN ON ADMINISTRATIVE CHART

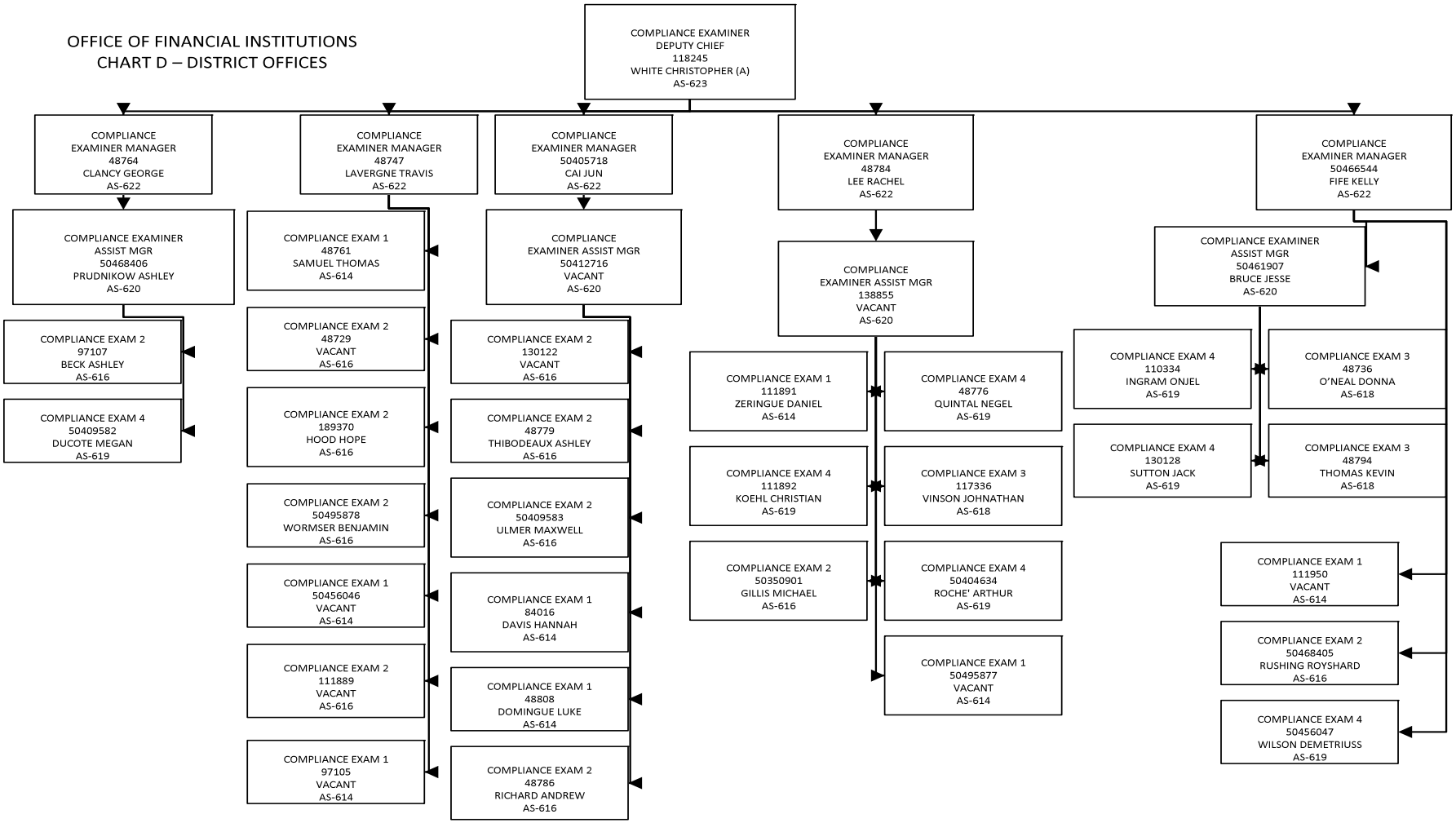
OFFICE OF FINANCIAL INSTITUTIONS
CHART C - SECURITIES



(A) POSITION IS ALSO SHOWN ON ADMINISTRATIVE CHART

OCTOBER 2026

OFFICE OF FINANCIAL INSTITUTIONS
CHART D – DISTRICT OFFICES



OCTOBER 2026

(A) POSITION IS ALSO SHOWN ON DEPOSITORY CHART



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